

Watorek Jeffrey J.
Form 4
September 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Watorek Jeffrey J.

2. Issuer Name **and** Ticker or Trading
Symbol
GIBALTAR INDUSTRIES, INC.
[ROCK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
3556 LAKE SHORE ROAD, P.O.
BOX 2028

3. Date of Earliest Transaction
(Month/Day/Year)
09/03/2017

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)
VP, Treasurer, Secretary

(Street)
BUFFALO, NY 14219-0228

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock <u>(1)</u>	09/03/2017		A		336 <u>(1)</u>	A \$ 0	2,276 D
Common Stock <u>(2)</u>	09/03/2017		F		122 <u>(2)</u>	D \$ 29.3	2,154 D
RSU (LTIP 9/3/2013) <u>(3)</u>	09/03/2017		D		336 <u>(3)</u>	D \$ 0	0 D
Common Stock <u>(1)</u>	09/04/2017		A		299 <u>(1)</u>	A \$ 0	2,453 D
Common Stock <u>(2)</u>	09/04/2017		F		108 <u>(2)</u>	D \$ 29.3	2,345 D

Edgar Filing: Watorek Jeffrey J. - Form 4

Common Stock ⁽¹⁾	09/04/2017	A	309 ⁽¹⁾	A	\$ 0	2,654	D	
Common Stock ⁽²⁾	09/04/2017	F	112 ⁽²⁾	D	\$ 29.3	2,542	D	
RSU (LTIP 9/4/2014) ⁽⁴⁾	09/04/2017	D	299 ⁽⁴⁾	D	\$ 0	299	D	
RSU (LTIP 9/4/2015) ⁽⁵⁾	09/04/2017	D	309 ⁽⁵⁾	D	\$ 0	618	D	
Common Stock ⁽¹⁾	09/06/2017	A	142 ⁽¹⁾	A	\$ 0	2,684	D	
Common Stock ⁽²⁾	09/06/2017	F	52 ⁽²⁾	D	\$ 28.9	2,632	D	
RSU (LTIP 9/6/2016) ⁽⁶⁾	09/06/2017	D	142 ⁽⁶⁾	D	\$ 0	426	D	
Common Stock						1,940	D	
Common Stock (401k)						359.43	I	401k
Discretionary RSU (Feb 2016)						1,200	D	
Discretionary RSU (May 2017)						2,000	D	
Performance Stock Units (April 2017)						2,023	D	
RSU (LTIP 4/3/2017)						506	D	
RSU (LTIP 9/3/2013)						336	D	
RSU (LTIP 9/4/2014)						598	D	
RSU (LTIP 9/4/2015)						927	D	
RSU (LTIP 9/6/2016)						568	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (September 2008)	\$ 22.16					<u>(7)</u>	09/09/2018	Common Stock	1,000	
Option (September 2009)	\$ 13.72					<u>(7)</u>	09/14/2019	Common Stock	2,000	
Option (September 2010)	\$ 8.9					<u>(7)</u>	09/13/2020	Common Stock	2,000	
Option (September 2011)	\$ 9.74					<u>(7)</u>	09/13/2021	Common Stock	2,500	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Watorek Jeffrey J. 3556 LAKE SHORE ROAD P.O. BOX 2028 BUFFALO, NY 14219-0228			VP, Treasurer, Secretary	

Signatures

/s/ Paul J. Schulz, Attorney in Fact for Jeffrey J.
Watorek

09/08/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the conversion of restricted stock units awarded as part of the Company's Long Term Incentive Plan into common stock upon vesting.

(2) Represents common stock retained by the Company upon conversion of Reporting Person's restricted stock units into shares of common stock in satisfaction of the Reporting Person's individual minimum statutory withholding obligation.

Represents the conversion of restricted stock units to shares of common stock upon vesting of restricted stock units awarded as part of the Company's Long Term Incentive Plan. Twenty-five percent (25%) of the total units awarded vest and are payable, solely in shares of common stock of the Company on each anniversary of the September 3, 2013 award date.

(3) Represents the conversion of restricted stock units to shares of common stock upon vesting of restricted stock units awarded as part of the Company's Long Term Incentive Plan. Twenty-five percent (25%) of the total units awarded vest and are payable, solely in shares of common stock of the Company on each anniversary of the September 4, 2014 award date.

Represents the conversion of restricted stock units to shares of common stock upon vesting of restricted stock units awarded as part of the Company's Long Term Incentive Plan. Twenty-five percent (25%) of the total units awarded vest and are payable, solely in shares of common stock of the Company on each anniversary of the September 4, 2015 award date.

(4) Represents the conversion of restricted stock units to shares of common stock upon vesting of restricted stock units awarded as part of the Company's Long Term Incentive Plan. Twenty-five percent (25%) of the total units awarded vest and are payable, solely in shares of common stock of the Company on each anniversary of the September 6, 2016 award date.

(5) Twenty-five percent (25%) of the total number of options granted vest and become exercisable on each anniversary grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.