

Thermon Group Holdings, Inc.
Form 4
November 13, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALEXANDER GEORGE P

2. Issuer Name and Ticker or Trading Symbol
Thermon Group Holdings, Inc.
[THR]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
100 THERMON DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/11/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP - Global Sales

SAN MARCOS, TX 78666
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/11/2013		S	6,000 D	\$ 26.13 (1) 50,769	D	
Common Stock	11/11/2013		S	6,000 D	\$ 26.13 (1) 42,252	I	by spouse
Common Stock	11/11/2013		S	6,000 D	\$ 26.14 (1) 42,252	I	by Self, as Trustee for the Bridget Alexander Trust

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Common Stock	11/11/2013		S	6,000	D	\$ 26.14 <u>(1)</u>	54,981	I	By George Alexander Trust
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Performance Units	\$ 0					<u>(2)</u>	<u>(2)</u>	Common Stock	9,294 <u>(2)</u>
Restricted Stock Units	\$ 0					<u>(3)</u>	<u>(3)</u>	Common Stock	9,294
Performance Units	\$ 0					<u>(4)</u>	<u>(4)</u>	Common Stock	13,688 <u>(4)</u>
Restricted Stock Units	\$ 0					<u>(5)</u>	<u>(5)</u>	Common Stock	13,688
Stock Option (Right to Buy)	\$ 12					05/04/2014 ⁽⁶⁾	05/04/2021	Common Stock	6,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALEXANDER GEORGE P 100 THERMON DRIVE SAN MARCOS, TX 78666			EVP - Global Sales	

Signatures

/s/ George Alexander by Sarah Alexander as
attorney-in-fact

11/13/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This represents the weighted average sale price. Reporting person will, upon request, supply the Issuer, the SEC Staff or a securityholder of the Issuer full information regarding the number of shares sold at each separate price.

On August 2, 2012, the reporting person was granted a performance unit award, which vests in annual installments on March 31, 2014 and March 31, 2015. The number of shares reflected in this filing represents the Target Award. The actual number of shares that may vest depends on the Issuer's total shareholder return performance relative to its peer group and ranges from 0% below Threshold performance, 50% at Threshold performance, 100% at Target performance and 200% at Maximum performance.
- (2) On August 2, 2012, the reporting person was granted a restricted stock unit award that vests in equal annual installments on August 2, 2014 and 2015.

On August 1, 2013, the reporting person was granted a performance unit award, which vests in annual installments on March 31, 2014, 2015 and 2016. The number of shares reflected in this filing represents the Target Award. The actual number of shares that may vest depends on the Issuer's total shareholder return performance relative to its peer group and ranges from 0% below Threshold performance, 50% at Threshold performance, 100% at Target performance and 200% at Maximum performance.
- (3) On August 1, 2013, the reporting person was granted a restricted stock unit award that vests in equal annual installments on August 1, 2014, 2015 and 2016.
- (4) Options vest in equal annual installments on May 4, 2014, 2015 and 2016.
- (5) Options vest in equal annual installments on May 4, 2014, 2015 and 2016.
- (6) Options vest in equal annual installments on May 4, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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