

KENNAMETAL INC
Form 4
August 05, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BAILEY MARTHA A

(Last) (First) (Middle)

1600 TECHNOLOGY WAY

(Street)

LATROBE, PA 15650

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
KENNAMETAL INC [kmt]

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)
Controller

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/01/2013		M	229 A	\$ 29.6 4,064	D	
Common Stock	08/01/2013		M	4,264 A	\$ 26.89 8,328	D	
Common Stock	08/01/2013		M	733 A	\$ 21.48 9,061	D	
Common Stock	08/01/2013		S	5,226 D	\$ 44.929 3,835 (1)	D	
Common Stock	08/01/2013		M	3,178 A	\$ 45.24 7,013	D	

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Common Stock 08/01/2013 F 983 D \$ 45.24 6,030 ⁽²⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
NQ Stock Options (right to buy)	\$ 29.6	08/01/2013		M			229	<u>(3)</u>	08/01/2018	Common Stock	229
NQ Stock Options (right to buy)	\$ 26.89	08/01/2013		M			4,264	<u>(3)</u>	08/01/2020	Common Stock	4,264
NQ Stock Option (right to buy)	\$ 21.48	08/01/2013		M			733	<u>(3)</u>	08/01/2019	Common Stock	733
Restricted Stock Units	<u>(4)</u>	08/01/2013		M			3,178	08/01/2013	<u>(5)</u>	Common Stock	3,178
Restricted Stock Unit Awards	<u>(4)</u>	08/01/2013		A		1,713		<u>(5)</u>	07/31/2023	Common Stock	1,713
Stock Options (right to buy)	\$ 45.24	08/01/2013		A		5,139		<u>(3)</u>	07/31/2023	Common Stock	5,139

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BAILEY MARTHA A 1600 TECHNOLOGY WAY LATROBE, PA 15650			Controller	

Signatures

By: Kevin G. Nowe For: Martha A. Bailey 08/05/2013

____Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This price is a weighted average price. The range of prices for the transactions is \$45.220 - \$45.225. Full information regarding the number of shares sold at each separate price will be provided upon request.
- (2) Includes 626 shares held in the Kennametal Inc. 401K Plan.
- (3) Option is exercisable in four equal annual installments, commencing on the first anniversary of the grant date.
- (4) 1 - for - 1.
- (5) These Restricted Stock Units are subject to time based vesting and are dispersed in four equal annual installments, commencing on the first anniversary date of the grant date subject to continued employment with the company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.