ROEPKE SUSAN E

Form 4 March 21, 2018

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
ROEPKE SUSAN E

2. Issuer Name **and** Ticker or Trading

Symbol

LANDMARK BANCORP INC

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify below) below)

1310 A WESTLOOP, PMB 351

(Street)

(Ctata)

(7:m)

03/20/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

MANHATTAN, KS 66502

(City)	(State) (	Zip) Table	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/20/2018		Code V M	Amount 4,841 (1)	or (D)	Price \$ 14.1 (1)	(Instr. 3 and 4) 41,179 (1)	D		
Common Stock	03/20/2018		F	2,337	D	\$ 29.21	38,842	D		
Common Stock	03/20/2018		M	1,937 (1)	A	\$ 11.54 (1)	40,779	D		
Common Stock	03/20/2018		F	766	D	\$ 29.21	40,013	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Purchase Common Stock	\$ 14.1 <u>(1)</u>	03/20/2018		M	4,841 (1)	<u>(2)</u>	04/23/2018	Common Stock	4,841 (1)
Options to Purchase Common Stock	\$ 11.54 (1)	03/20/2018		M	1,937 (1)	(3)	04/20/2021	Common Stock	1,937 (1)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
.r. g	Director	10% Owner	Officer	Other			
ROEPKE SUSAN E							
1310 A WESTLOOP, PMB 351	X						
MANHATTAN, KS 66502							

# **Signatures**

/s/ Mark A. Herpich, as Attorney-in-Fact for Susan E. Roepke 03/21/2018

\*\*Signature of Reporting Person

Reporting Owners 2

Date

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As adjusted for the Company's 5% stock dividends in December 2016 and December 2017.
- (2) The options vested in 4 equal installments on April 23, 2009, 2010, 2011 and 2012.
- (3) The options vested in 4 equal installments on April 20, 2012, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.