

COLUMBUS MCKINNON CORP
Form 4
May 21, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Buer Gene P

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
VP Global Svs/Vertical Markets

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/19/2014

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					33,309	D	
Common Stock	05/19/2014		F	776 ⁽¹⁾ D \$ 27.12	32,533	D	
Common Stock	05/19/2014		A	3,285 ⁽²⁾ A \$ 0	35,818	D	
Common Stock	05/19/2014		A	6,660 ⁽³⁾ A \$ 0	42,478 ⁽⁴⁾	D	
Common Stock					955.0536 ⁽⁵⁾	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock	43
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock	1,6
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021	Common Stock	3,2
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013 05/20/2022	Common Stock	8,3
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014 05/20/2023	Common Stock	9,2
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2014		A	8,325	05/19/2014 05/19/2024	Common Stock	8,3

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Buer Gene P 140 JOHN JAMES AUDUBON PARKWAY			VP Global Sys/Vertical Markets	

AMHERST, NY 14228

Signatures

Gene P. Buer

05/21/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting of 2,104 restricted stock units on 5/19/2014.
Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (3) Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010. 4,015 units become fully vested and non-forfeitable on 5/23/2014 and the remaining 2,645 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (4) Includes 16,096 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,711 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014, 4,169 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 4,408 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 5,808 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer. Also includes 6,660 shares which become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (6) Fully exercisable, subject to IRS limitations.
- (7) Fully exercisable, subject to IRS limitations.
- (8) Exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term
- (11) Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.