Hale Mark J. Form 5 June 29, 2018

FORM 5

|                                      |  | STATES S     |  |  |  |         | E CC    | DMMISSION  | Number:  | 3235-0362           |  |  |
|--------------------------------------|--|--------------|--|--|--|---------|---------|--|--|---------------------|--|--|
| no longer subject                    |  |              |  | shington, D.C. 20549                             |  |         |         |  | Expires:   | January 31,<br>2005 |  |  |
| Form 4 or 5 obligation               | to Section 16. Form 4 or Form  ANNUAL STATEME    |              |  | ENT OF CHANGES IN BENEFICIAL RSHIP OF SECURITIES |  |         |         |  | Estimated average burden hours per response 1.0  |                     |  |  |
| See Instruction 1(b).                | Filed pur<br>Poldings Section 17(a               | a) of the Pu | ıblic Uti  |  | g Compa  | ny A    | ct of 1 |  | n  |                     |  |  |
| Hale Mark J. Symbol                  |  |              | ymbol<br>FACTSI  | SET RESEARCH SYSTEMS                             |  |         |         | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)                                  |  |                     |  |  |
| (Last)                               | (Month/D 08/31/20                                |              |  |  |  |         |         |  | Director 10% Owner Officer (give titleX Other (specify below)  |                     |  |  |
| 601 MERRI                            | TT 7   | O            | 0/31/20  | ,1,  |  |         |         | Former Ch  | nief Operating (   | Officer             |  |  |
|                                      |  |              |  | ndment, Date Original<br>th/Day/Year)            |  |         |         | 6. Individual or Joint/Group Reporting  (check applicable line)  |  |                     |  |  |
| NORWALK                              | X, CT 06851                                      |              |  |  |  |         | _       | X_Form Filed byForm Filed by lerson  | One Reporting Pour Control of the Co |                     |  |  |
| (City)                               | (State)  | (Zip)        | Table  | I - Non-Deri                                     | vative Sec   | urities | s Acqui | ired, Disposed of  | f, or Beneficial   | ly Owned            |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | (Month/Day/Year) Executi any                     |              | med 3. nn Date, if Transaction Code Day/Year) (Instr. 8) |  | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |         |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) Indirect (I) (Instr. 4)  7. Nature of Indirect Indirect Ownership (Instr. 4)   |                     |  |  |
| FactSet<br>Common<br>Stock           | Â  | Â            |  | Â  | Â  | Â       | Â       | 2,717 <u>(1)</u>   | D  | Â                   |  |  |
|                                      | ort on a separate line<br>ficially owned directl |              | у.   | contained in                                     | this for   | n are   | not re  | lection of infor<br>equired to resp<br>lid OMB contro  | ond unless   | SEC 2270<br>(9-02)  |  |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

## Edgar Filing: Hale Mark J. - Form 5

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                  | 5.         | 6. Date Exerc | cisable and     | 7. Titl | le and   | 8. Price of |
|-------------|-------------|---------------------|--------------------|---------------------|------------|---------------|-----------------|---------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction         | Number     | Expiration D  | ate             | Amou    | int of   | Derivative  |
| Security    | or Exercise |                     | any                | Code                | of         | (Month/Day/   | Year)           | Under   | rlying   | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivati |            | e             |                 | Secur   | ities    | (Instr. 5)  |
|             | Derivative  |                     |                    |                     | Securities |               |                 | (Instr. | 3 and 4) |             |
|             | Security    |                     |                    |                     | Acquired   |               |                 |         |          |             |
|             |             |                     |                    |                     | (A) or     |               |                 |         |          |             |
|             |             |                     |                    |                     | Disposed   |               |                 |         |          |             |
|             |             |                     |                    |                     | of (D)     |               |                 |         |          |             |
|             |             |                     |                    |                     | (Instr. 3, |               |                 |         |          |             |
|             |             |                     |                    |                     | 4, and 5)  |               |                 |         |          |             |
|             |             |                     |                    |                     |            |               |                 |         | Amount   |             |
|             |             |                     |                    |                     |            |               |                 |         | Amount   |             |
|             |             |                     |                    |                     |            |               | Expiration Date | Title   | or       |             |
|             |             |                     |                    |                     |            |               |                 |         | Number   |             |
|             |             |                     |                    |                     | (A) (D)    |               |                 |         | of       |             |
|             |             |                     |                    |                     | (A) (D)    |               |                 |         | Shares   |             |

of D

Is Fi

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hale Mark J.

601 MERRITT 7 Former Chief Operating Officer NORWALK, CTÂ 06851

**Signatures** 

/s/ Mark J. Hale 06/29/2018

\*\*Signature of Person Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents privately-held shares of FactSet common stock.

Â

## **Remarks:**

On November 14, 2017, FactSet Research Systems Inc. (the "Company" or "FactSet") announced th Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2