

Hale Mark J.  
Form 4  
January 24, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hale Mark J.

2. Issuer Name **and** Ticker or Trading  
Symbol

FACTSET RESEARCH SYSTEMS  
INC [FDS]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

601 MERRITT 7

(Street)

NORWALK, CT 06851

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/22/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| FactSet<br>Common<br>Stock            | 01/22/2018                              |                                                             | M                                    | 3,551                                                                   | A \$ 152.28                                                                                                        | 6,268                                                                   | D                                                                              |
| FactSet<br>Common<br>Stock            | 01/22/2018                              |                                                             | M                                    | 12,035                                                                  | A \$ 92.22                                                                                                         | 18,303                                                                  | D                                                                              |
| FactSet<br>Common<br>Stock            | 01/22/2018                              |                                                             | M                                    | 3,945                                                                   | A \$ 92.22                                                                                                         | 22,248                                                                  | D                                                                              |
| FactSet<br>Common                     | 01/22/2018                              |                                                             | M                                    | 8,070                                                                   | A \$ 131.31                                                                                                        | 30,318                                                                  | D                                                                              |

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Stock

FactSet

|              |            |   |       |   |          |        |   |
|--------------|------------|---|-------|---|----------|--------|---|
| Common Stock | 01/22/2018 | M | 5,100 | A | \$ 175.2 | 35,418 | D |
|--------------|------------|---|-------|---|----------|--------|---|

FactSet

|              |            |   |        |   |          |        |   |
|--------------|------------|---|--------|---|----------|--------|---|
| Common Stock | 01/22/2018 | M | 15,300 | A | \$ 175.2 | 50,718 | D |
|--------------|------------|---|--------|---|----------|--------|---|

FactSet

|              |            |   |        |   |          |        |   |
|--------------|------------|---|--------|---|----------|--------|---|
| Common Stock | 01/22/2018 | S | 12,305 | D | \$ 199.6 | 38,413 | D |
|--------------|------------|---|--------|---|----------|--------|---|

FactSet

|              |            |   |        |   |           |       |   |
|--------------|------------|---|--------|---|-----------|-------|---|
| Common Stock | 01/23/2018 | S | 35,696 | D | \$ 198.02 | 2,717 | D |
|--------------|------------|---|--------|---|-----------|-------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                    | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of Shares |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 152.28                                                             | 01/22/2018                              |                                                             | M                                       | 3,551                                                                                                        | <u>(1)</u>                                                     | <u>(1)</u>         | FactSet<br>Common<br>Stock                                          | 3,551                               |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 92.22                                                              | 01/22/2018                              |                                                             | M                                       | 12,035                                                                                                       | <u>(1)</u>                                                     | <u>(1)</u>         | FactSet<br>Common<br>Stock                                          | 12,035                              |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 92.22                                                              | 01/22/2018                              |                                                             | M                                       | 3,945                                                                                                        | <u>(1)</u>                                                     | <u>(1)</u>         | FactSet<br>Common<br>Stock                                          | 3,945                               |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 131.31                                                             | 01/22/2018                              |                                                             | M                                       | 8,070                                                                                                        | <u>(1)</u>                                                     | <u>(1)</u>         | FactSet<br>Common<br>Stock                                          | 8,070                               |

|                                                 |          |            |   |        |            |            |                            |        |
|-------------------------------------------------|----------|------------|---|--------|------------|------------|----------------------------|--------|
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 175.2 | 01/22/2018 | M | 5,100  | <u>(1)</u> | <u>(1)</u> | FactSet<br>Common<br>Stock | 5,100  |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 175.2 | 01/22/2018 | M | 15,300 | <u>(1)</u> | <u>(1)</u> | FactSet<br>Common<br>Stock | 15,300 |

## Reporting Owners

| Reporting Owner Name / Address                     | Relationships |           |                         |       |
|----------------------------------------------------|---------------|-----------|-------------------------|-------|
|                                                    | Director      | 10% Owner | Officer                 | Other |
| Hale Mark J.<br>601 MERRITT 7<br>NORWALK, CT 06851 |               |           | Chief Operating Officer |       |

## Signatures

/s/ Mark J. Hale                      01/24/2018

          Signature of                      Date  
Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 14, 2017, FactSet Research Systems Inc. ("FactSet" or the "Company") announced that Mark J. Hale would separate from his position as Executive Vice President, Chief Operating Officer. The separation was effective on December 31, 2017. Under the terms

(1) of the separation of employment and general release agreement with Mark J. Hale (the "Agreement"), Mr. Hale received the acceleration of the vesting of certain outstanding stock options, with the expiration date subject to the standard terms of the Company's option agreement.

(2) Column 8 has been intentionally left blank as the transaction was an exercise of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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