#### Edgar Filing: Funk Charles N - Form 4

Funk Charles Form 4	N										
February 13, 2	2018										
FORM	<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB AF	PROVAL	
	UNITED	STATES		shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16. Form 4 or	r STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5	
Form 5 obligations may contin <i>See</i> Instruc 1(b).	Section 17(	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> Funk Charles N			2. Issuer Name <b>and</b> Ticker or Trading Symbol				-	5. Relationship of Reporting Person(s) to Issuer			
	MidWestOne Financial Group, Inc. [MOFG]					(Check all applicable)					
(Last) 102 S. CLINT BOX 1700	<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>02/13/2018</li></ul>					X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO					
BOA 1700				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
IOWA CITY	, IA 52244-1700	)						Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8)	4. Securi n(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
~				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	02/13/2018			М	3,500	А	\$ 16.69	99,579	D		
Common Stock								4,005 <u>(1)</u>	Ι	By ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Funk Charles N - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction of Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 16.69	02/13/2018		М	3,500	(2)	04/01/2018	Common Stock	3,500	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		R		
i o	Director	10% Owner	Officer	Other
Funk Charles N 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700	Х		President and CEO	

### Signatures

Kenneth R. Urmie, Corporate Secretary, under Power of Attorney dated July 21, 02/13/2018

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in the MidWestOne Financial Group, Inc. Employee Stock Ownership Plan as of February 12, 2018.
- (2) The option vested in four equal annual installments beginning on April 1, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.