MidWestOne Financial Group, Inc.

Form 4

Common

11/21/2014

November 21, 2014

FORM 4 UNITED STATES SECURITIES AND EVCHANCE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549								N OMB Number:			
Check this box							Expires:	January 31,			
subject to Section 1	if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimate burden h	Estimated average burden hours per response 0.5			
Form 5 obligation may continue of the second	ns Section 17(	a) of the I	Public U	tility Hol	ding Cor		ge Act of 1934 of 1935 or Sect 940	,			
(Print or Type R	Responses)										
1. Name and Address of Reporting Person * POTHOVEN JOHN P			2. Issuer Name and Ticker or Trading Symbol MidWestOne Financial Group, Inc. [MOFG]				5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)				X Director Officer (gibelow)	ive title	ititle 10% Owner Other (specify below)		
102 S. CLIN BOX 1700	NTON STREET,	P.O.	11/21/2	014			below)	ociow)			
	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting						
IOWA CITY	Y, IA 52244-1700	0					Person	y More than One	Reporting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	equired, Disposed	of, or Benefic	cially Owned		
(Instr. 3) any		Execution	Date, if Transaction(A) or Disposed o			sposed of 4 and 5) (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount	(D) Price					
Stock							28,254	D			
Common Stock							300	I	Self/UTMA Custodian Minor Grandchild		
Common Stock							50,005	I	By IRA		

M

500

A \$

4,540

Ι

By Spouse

#### Edgar Filing: MidWestOne Financial Group, Inc. - Form 4

Stock 9.34

Common Stock 1,488  $\frac{(2)}{}$  I By Spouse's ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

8. P Der Sec (Ins

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Deriv Secu Acqu (A) o Disp of (E	or osed () r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to	\$ 9.34	11/21/2014		M		500	01/22/2010	01/22/2019	Common Stock	500

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

POTHOVEN JOHN P 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700

X

## **Signatures**

Buy)

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

11/21/2014

\*\*Signature of Reporting Person

Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Registered to self as custodian for minor grandchild under Uniform Transfers to Minors Act. The reporting person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (2) Shares held in the MidWestOne Financial Group, Inc. Employee Stock Ownership Plan as of November 21, 2014. Shares increased by 9 shares as a result of allocations made to the reporting person's spouse's account since the date of the reporting person's last Form filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.