### Edgar Filing: MidWestOne Financial Group, Inc. - Form 4

MidWestOne Financial Group, Inc. Form 4 August 01, 2013

FORM Check this	<b>4</b> UNITED S		SECURITIES AND EXCHANGE C Washington, D.C. 20549				COMMISSION	OMB Number:	PPROVAL 3235-0287	
subject to Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average burden hours per							2005 average			
Funk Charles N Symt Mid			2. Issuer Name <b>and</b> Ticker or Trading Symbol MidWestOne Financial Group, Inc. [MOFG]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Month			Date of Earliest Transaction onth/Day/Year) /01/2013			X Director 10% Owner X Officer (give title Other (specify below) President and CEO				
			Amendment, Date Original Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>				
(City)	(State) (Z	Zip) Ta	ble I - Non-D	erivative S	Securit	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. f Transactio Code r) (Instr. 8)		ties Ac isposec	cquired 1 of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership	-	
Common Stock	08/01/2013		М	1,000	A	\$ 9.34	67,967	D		
Common Stock							2,433	I	By ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 16.69					(2)	04/01/2018	Common Stock	6,000
Employee Stock Option (Right to Buy)	\$ 9.34	08/01/2013		М	1,000	<u>(3)</u>	01/22/2019	Common Stock	1,000

## **Reporting Owners**

Reporting Owner Name / Address		R	elationships	
	Director	10% Owner	Officer	Other
Funk Charles N 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700	Х		President and CEO	
Signatures				

### ignatures

Kenneth R. Urmie, Corporate Secretary, under Power of Attorney dated January 22,	
2009	08/01/2013
**Signature of Reporting Person	Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan and allocated to reporting person's account as of July
- (1) 31, 2013. The number of shares has increased by 404 shares as a result of allocations made to the reporting person's account since the last Form filed.
- (2) The option vests in four equal annual installments beginning on April 1, 2009.

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(3) The option vests in four equal annual installments beginning on January 22, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.