Edgar Filing: CALIX, INC - Form 4

CALIX, INC										
Form 4										
January 30, 20										
FORM	4 UNITED S	TATES SECUR	ITIES AI	ND EXC	CHAI	NGE (COMMISSION		PPROVAL	
			hington,					Number:	3235-0287	
Check this if no longe subject to Section 16	GES IN BENEFICIAL OWNERSHIP (SECURITIES				NERSHIP OF		Expires: January 31, 2005 Estimated average burden hours per			
Form 4 or Form 5							response	0.5		
obligation may contin <i>See</i> Instruct 1(b).	$\frac{1}{1}$ Section 17(a)) of the Public Ut: 30(h) of the Inv	ility Hold	ing Com	ipany	Act o	f 1935 or Sectio	'n		
(Print or Type Ro	esponses)									
Pope Kevin Symb			2. Issuer Name and Ticker or Trading /mbol ALIX, INC [(CALX)]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mi		Earliest Tra				(Chec	ck all applicable	e)	
	INC ., 1035 N.	(Month/Da 01/28/20	ay/Year)	iisaction			Director X_Officer (give below) SVP, Pre		6 Owner er (specify nent	
(Street) 4. If Ame			endment, Date Original			6. Individual or Joint/Group Filing(Check				
PETALUMA	A, CA 94954	Filed(Mon	th/Day/Year)				Applicable Line) _X_ Form filed by 0 Form filed by M Person	One Reporting Po More than One Ro		
(City)	(State) (Z	Zip) Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) c l of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/28/2014		A	8,333 (1)	A	\$0	104,468 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 5 ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 8.18	01/28/2014		A	10,000	(3)	01/28/2024	Common Stock	10,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Pope Kevin C/O CALIX, INC . 1035 N. MCDOWELL BLVD. PETALUMA, CA 94954			SVP, Product Development				
Signatures							
/s/ Michael Ashby as Attorney-in Pope	n-Fact for	r Kevin	01/29/2014				
<u>**</u> Signature of Reporting Pe	erson		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Performance restricted stock units ("PSUs"). Based upon performance goal achievement certified by the Compensation
 (1) Committee of the Board of Directors on 1/28/14, upon settlement of the PSUs, which is expected to occur on February 14, 2014, the Reporting Person will receive the indicated number of shares of Common Stock.
- (2) Includes 7,500 restricted stock units and 8,333 performance restricted stock units.
- Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule:
 (3) 1/48th of the total number of shares subject to the option shall vest on the 28th day of each month, with completion of vesting to occur on 1/28/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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