Edgar Filing: EQUUS TOTAL RETURN, INC. - Form 4

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Form 4	TOTAL RETURN	N, INC.								
October (
FOR	(M 4 _{UNITE}	ED STATES	SECURITI	ES AND E	хсн	ANGE CO	MMISSION	OMB APPROVAL		
CUNIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								Number:	3235-0287	
Check this box								Expires:	January 31,	
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						Estimated average				
Secti	Section 16. SECURITIES							burden hours per		
Form Form	-			6 41 × C · · · ·		E	A . 4 . 6 1024	response	0.5	
	THEU	^	Section 16(a) of Public Utility			•	935 or Section			
	nstruction		of the Investn	•	-	•				
1(b).		()		· · · · ·	5					
(Find of Ty	pe Responses)									
1. Name a	nd Address of Report	ing Person [*]	2. Issuer Name	e and Ticker	or Tra	ding 5.	Relationship of F	Reporting Perso	on(s) to	
Clayton	Sharon		Symbol	- 1			suer			
			EQUUS TO	TAL RETU	RN,	INC.	(Check	all applicable)		
			[EQS]				()			
(Last) (First) (Middle)			3. Date of Earliest Transaction			-	X_ DirectorX_ 10% Owner Officer (give title Other (specify			
10757 SOUTH RIVER FRONT			(Month/Day/Year) 09/27/2007			be	below) below)			
	AY, SUITE 125		0)12112001							
	(Street)		4. If Amendmer	nt. Date Origi	nal	6	Individual or Joi	nt/Group Filing	o(Check	
							6. Individual or Joint/Group Filing(Check Applicable Line)			
_X_F0					One Reporting Person More than One Reporting					
SOUTH	JORDAN, UT 84	4095				Pe	Form filed by MC erson	ore than One Rep	oorung	
(City)	(State)	(Zip)	Table I - N	on-Derivativ	e Secu	urities Acquin	red, Disposed of,	or Beneficiall	y Owned	
	2. Transaction Date					uired (A) or	5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)						Securities Beneficially	Ownership Form:	Indirect Beneficial	
(msu. <i>5</i>)		any (Month/Day/					Owned	Direct (D)	Ownership	
							Following	or Indirect	(Instr. 4)	
					(A)		Reported Transaction(s)	(I) (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Equus			Code V	rinount		11100				
Total	09/27/2007	09/27/2007	7 S	189,716	D	\$	1,273,252	I	MCC	
Return,	07/211/2001	07/211/200	/ 3	107,710	D	1,266,355	1,273,232	1	Europe	
Inc.										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
Clayton Sharon 10757 SOUTH RIVER FRO SUITE 125 SOUTH JORDAN, UT 840		Х	Х				
Signatures							
Sharon Clayton	09/27/2007						
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.