Edgar Filing: ALPHA & OMEGA SEMICONDUCTOR Ltd - Form 4

ALPHA & OMEGA SEMICONDUCTOR Ltd

Form 4

November 12, 2015

| Check this if no long subject to Section 10 Form 4 or Form 5 | UNITED STA | COMMISSION VNERSHIP OF ge Act of 1934. | OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | | | | | |
|--|--|--|---|---|---|---|--|--|--|--|--|
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) | | | | | | | | | | | |
| 1. Name and AdOWYANG I | ddress of Reporting Person KING | Symbol ALPHA & OME | _ | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
| | (First) (Middle) A & OMEGA OUCTOR LIMITED, 4 PKWY | 3. Date of Earliest Tr (Month/Day/Year) 11/09/2015 | ransaction | X Director Officer (give below) | | | | | | | |
| (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | | |
| | (State) (Zip) | | | Person | | | | | | | |
| (City) 1.Title of Security (Instr. 3) | 2. Transaction Date 2A (Month/Day/Year) Excanny | Deemed 3. cution Date, if Transacti Code onth/Day/Year) (Instr. 8) | (A) or Amount (D) Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | f, or Beneficial 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | | |
| Common Shares | 11/09/2015 | A | $\frac{5,134}{\frac{(1)}{}}$ A \$ 0 | 11,622 | D | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | | | ate | 7. Title Amoun Under | int of lying ities | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene |
|---|---|---|---|---------------------------------------|-----------------------------------|---------------------|-----------------|----------------------------|--------------------------|--|---------------------------------|
| | Derivative Security | | | | Securities Acquired | | | (Instr. | 3 and 4) | | Owne Follo |
| | | | | | (A) or Disposed | | | | | | Repo Trans |
| | | | | | of (D) (Instr. 3, 4, and 5) | | | | | | (Instr |
| | | | | | 4, and 3) | | | | Amount | | |
| | | | | | | Date Exercisable | Expiration Date | Title | or Number of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

OWYANG KING

C/O ALPHA & OMEGA SEMICONDUCTOR LIMITED

475 OAKMEAD PKWY

SUNNYVALE, CA 94085

Signatures

/s/ Yanbing Hong, attorney-in-fact for Owyang King

11/12/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the Issuer's common shares underlying restricted share units (RSUs) awarded to the Reporting Person for service on the board (1) of directors pursuant to the Issuer automatic grant program for non-employee directors. The RSUs will vest in four (4) successive equal quarterly installments upon the Reporting Person's completion of each quarter of Board service following the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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