

Edgar Filing: COMPETITIVE TECHNOLOGIES INC - Form 10-K/A

COMPETITIVE TECHNOLOGIES INC  
Form 10-K/A  
November 06, 2007

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

AMENDMENT 1  
TO  
FORM 10-K

ANNUAL REPORT PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES EXCHANGE ACT OF  
1934 FOR THE FISCAL YEAR ENDED JULY 31, 2007

Commission file number 1-8696

/X\  
/XXXXX\  
/XX-----  
/XXX---- COMPETITIVE  
XXX---- TECHNOLOGIES  
\XXX---- Unlocking the Potential of Innovation (R)  
\XX-----  
\XXXXX/ Technology Transfer & Licensing Services  
\X/

COMPETITIVE TECHNOLOGIES, INC.  
(Exact name of registrant as specified in its charter)  
www.competitivetech.net

Registrant's telephone number, including area code: (203) 368-6044

Delaware 36-2664428  
-----  
(State or other jurisdiction of (I.R.S. Employer Identification No.)  
incorporation or organization)

Securities registered pursuant to Section 12(b) of the Act:

| Title of Each Class            | Name of Each Exchange on which Registered |
|--------------------------------|---|
| Common Stock (\$.01 par value) | American Stock Exchange                   |

Securities registered pursuant to Section 12(g) of the Act: None

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. [x]Yes [ ]No

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, or a non-accelerated filer. See definition of "accelerated filer and large accelerated filer" in Rule 12b-2 of the Exchange Act.  
Large accelerated filer [ ] Accelerated filer [ ] Non-accelerated filer [X]

The aggregate market value of the common equity held by non-affiliates of the registrant as of January 31, 2007 (the last business day of the registrant's most recently completed second fiscal quarter) was \$18,746,515

The number of shares of the registrant's common stock outstanding as of October 18, 2007, was 8,107,380 shares.

Edgar Filing: COMPETITIVE TECHNOLOGIES INC - Form 10-K/A

American  
Stock Exchange  
Listed  
CTT

Page 1

The Company's Form 10-K for the Fiscal Year ended July 31, 2007 is hereby Amended by replacing the Signature Page, page 83, with the following page.

2

SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

COMPETITIVE TECHNOLOGIES, INC.  
(the registrant)

By /s/ John B. Nano

-----  
John B. Nano

Chairman, President and Chief Executive  
Officer, Interim Chief Financial Officer,  
Director and Authorized Signer

Date: October 29, 2007

Pursuant to the requirements of the Securities Exchange Act of 1934, this report has been signed below by the following persons on behalf of the registrant and in the capacities and on the dates indicated.

| Name                         | Title                | ) | Date             |
|------------------------------|----------------------|---|------------------|
| -----                        | -----                | ) | -----            |
| /s/ Joel M. Evans, M.D.      | Director             | ) |                  |
| -----                        |                      | ) |                  |
| Joel M. Evans, M.D.          |                      | ) |                  |
|                              |                      | ) |                  |
| /s/ Richard D. Hornidge, Jr. | Director             | ) |                  |
| -----                        |                      | ) |                  |
| Richard D. Hornidge, Jr.     |                      | ) |                  |
|                              |                      | ) |                  |
| /s/ Rustin Howard            | Director             | ) |                  |
| -----                        |                      | ) |                  |
| Rustin Howard                |                      | ) |                  |
|                              |                      | ) |                  |
|                              | Chairman, President, | ) | October 29, 2007 |

Edgar Filing: COMPETITIVE TECHNOLOGIES INC - Form 10-K/A

|                      |                         |   |
|----------------------|-------------------------|---|
|                      | Chief Executive         | ) |
|                      | Officer, Interim        | ) |
|                      | Chief Financial Officer | ) |
|                      | and Director            | ) |
| /s/ John B. Nano     |                         | ) |
| -----                |                         | ) |
| John B. Nano         |                         | ) |
|                      |                         | ) |
|                      |                         | ) |
| /s/ William L. Reali | Director                | ) |
| -----                |                         | ) |
| William L. Reali     |                         | ) |
|                      |                         | ) |
|                      |                         | ) |
| /s/ Ralph S. Torello | Director                | ) |
| -----                |                         | ) |
| Ralph S. Torello     |                         | ) |
|                      |                         | ) |