

HUANENG POWER INTERNATIONAL INC
Form 6-K
January 05, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

Report of Foreign Private Issuer
Pursuant to Rule 13a-16 or 15d-16 of
The Securities Exchange Act of 1934

For the month of January 2018

(Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.)

Form 20-F Form 40-F

(Indicate by check mark whether the registrant by furnishing the information contained in this form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.)

Yes No

(If "Yes" is marked, indicate below the file number assigned to registrant in connection with Rule 12g3-2(b):

82-_____.)

N/A

Huaneng Power International, Inc.
Huaneng Building,
6 Fuxingmennei Street,
Xicheng District,
Beijing, 100031 PRC

This Form 6-K consists of:

An announcement regarding Monthly Return of Equity Issuer on Movements in Securities of Huaneng Power International, Inc. (the Registrant”), submitted by the Registrant on January 3, 2018.

Monthly Return of Equity Issuer on Movements in Securities

For the month ended
(dd/mm/yyyy) : 31/12/2017

To : Hong Kong Exchanges and Clearing Limited

Huaneng
Power
International,
Name of Issuer Inc
Date Submitted 03/01/2018

I. Movements in Authorised Share Capital

1. Ordinary Shares

(1) Stock code :	902	Description :	“H” share listed in H.K. “ADR” listed in N.Y.
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	No. of ordinary shares	Relationships	
Director	10% Owner	Officer	Other
LEVY JOHN S C/O SL GREEN REALTY CORP. 420 LEXINGTON AVENUE NEW YORK, NY 10170		X	

Signatures

John S. Levy, by Andrew S. Levine, his attorney-in-fact 01/08/2008

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option grant vests immediately.
- (2) 1 for 1.
- (3) This grant includes 1,000 restricted units that will vest in three installments of 334 on January 1, 2009; 333 on January 1, 2010 and 333 on January 1, 2011.

The phantom stock units become payable in common stock (or in certain cases in cash) upon (unless the reporting person elects otherwise in accordance with the documents governing the applicable program) the earlier of (i) the January 1 coincident with or next following the
- (4) earlier of (A) the reporting person's ceasing to be a director, and (B) the reporting person's death, and (ii) a change of control of the Company (as determined under such governing documents). In addition, the reporting person may be permitted to elect to receive distributions (i) for certain unforeseeable emergencies and (ii) in the form of installment payments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

align="bottom" align="left">

Increase/(decrease)

0

0

Balance at close of the month

4,700,383,440

1.00

4,700,383,440

(2) Stock code :

600011

Description :

“A” share listed in Shanghai

No. of ordinary shares

Par value

(RMB)

Authorised share capital

(RMB)

Balance at close of preceding month

10,500,000,000

1.00

10,500,000,000

Increase/(decrease)

0

0

Balance at close of the month

10,500,000,000

1.00

10,500,000,000

Explanation of Responses:

2. Preference Shares

Stock code : N/A Description :

No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month		
Increase/(decrease)		

Balance at close
of the month

3. Other Classes of Shares

Stock code : N/A Description :

No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month		
Increase/(decrease)		

Balance at close
of the month

Total authorised share capital at the end of the month (RMB) : 15,200,383,440

II. Movements in Issued Share Capital

	No. of ordinary shares		No of preference shares	No. of other classes of shares
	(1)	(2)		
Balance at close of preceding month	4,700,383,440	10,500,000,000	N/A	N/A
Increase/ (decrease) during the month	0	0		
Balance at close of the month	4,700,383,440	10,500,000,000		

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer): N/A

Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable	Movement during the month				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Granted	Exercised	Cancelled	Lapsed		
1.						
(/ /) shares (Note 1)						
2.						
(/ /) shares (Note 1)						
3.						
(/ /) shares (Note 1)						

Explanation of Responses:

Total A. (Ordinary
shares)
(Preference
shares)
(Other class)

Total funds raised during the month
from exercise of options (State
currency)

Warrants to Issue Shares of the Issuer which are to be Listed: N/A

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
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1.

(/ /)

Stock code (if listed)

Class of shares issuable

(Note 1)

Subscription price

EGM approval date

(if applicable)

(dd/mm/yyyy) (/ /)

2.

(/ /)

Stock code (if listed)

Class of shares issuable

(Note 1)

Subscription price

EGM approval date

(if applicable)

(dd/mm/yyyy) (/ /)

3.

(/ /)

Stock code (if listed)

Class of shares issuable

(Note 1)

Subscription price

EGM approval date

(if applicable)

(dd/mm/yyyy) (/ /)

4.

Explanation of Responses:

(/ /)
Stock code (if
listed)
Class of shares
issuable
(Note 1)
Subscription price
EGM approval
date
(if applicable)
(dd/mm/yyyy) (/ /)

Total B. (Ordinary
shares)
(Preference shares)
(Other class)

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed): N/A

Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month thereto	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
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1.

Stock code
(if listed)
Class of shares issuable (Note 1)
Subscription price EGM approval date (if applicable)
(dd/mm/yyyy) / /)

2.

Stock code
(if listed)
Class of shares issuable (Note 1)
Subscription price EGM approval date (if applicable)
(dd/mm/yyyy) / /)

3.

Stock code
(if listed)
Class of shares

Explanation of Responses:

issuable
(Note 1)
Subscription
price
EGM
approval
date
(if
applicable)
(dd/mm/yyyy) / /)
4.

Stock code
(if listed)
Class of
shares
issuable
(Note 1)
Subscription
price
EGM
approval
date
(if
applicable)
(dd/mm/yyyy) / /)

Total C.
(Ordinary shares)
(Preference
shares)
(Other class)

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes): N/A

Full particulars including

EGM approval date

(dd/mm/yyyy),

if applicable, and class No. of new shares of issuer issued
of shares issuable: during the month pursuant thereto

No. of new shares of issuer which may be issued
pursuant thereto as at close of the month

1.

(/ /)

Ordinary shares (Note
1)

2.

(/ /)

Ordinary shares (Note
1)

3.

(/ /)

Ordinary shares (Note
1)

Total D. (Ordinary
shares)
(Preference shares)
(Other class)

Other Movements in Issued Share Capital: N/A

Type of Issue	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. Rights At State issue price : currency	Class of shares issuable (Note 1) Issue and allotment (/ /) date : (dd/mm/yyyy)	
	EGM approval (/ /) date: (dd/mm/yyyy)	
2. Open At State offer price : currency	Class of shares issuable (Note 1) Issue and allotment (/ /) date : (dd/mm/yyyy)	
	EGM approval (/ /) date: (dd/mm/yyyy)	
3. At State Placing price : currency	Class of shares issuable (Note 1) Issue and allotment (/ /) date : (dd/mm/yyyy)	
	EGM approval (/ /) date: (dd/mm/yyyy)	
4. Bonus issue	Class of shares issuable (Note 1) Issue and allotment (/ /) date : (dd/mm/yyyy)	
	(/ /)	

Explanation of Responses:

EGM approval
date:
(dd/mm/yyyy)

Type of Issue	At State price : currency	Class of shares issuable (Note 1)	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
5. Scrip dividend	At State price : currency	Class of shares issuable (Note 1) Issue and allotment (//) date : (dd/mm/yyyy)		
		EGM approval (//) date: (dd/mm/yyyy)		
6. Repurchase of shares		Class of shares issuable (Note 1) Issue and allotment (//) date : (dd/mm/yyyy)		
		EGM approval (//) date: (dd/mm/yyyy)		
7. Redemption of shares		Class of shares issuable (Note 1) Issue and allotment (//) date : (dd/mm/yyyy)		
		EGM approval (//) date: (dd/mm/yyyy)		
8. Consideration issue	At State price : currency	Class of shares issuable (Note 1)		
		Issue and allotment (//) date : (dd/mm/yyyy)		
		EGM approval (//) date:		

(dd/mm/yyyy)

Type of Issue	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
9. Capital reorganisation	Class of shares issuable (Note 1)	
	Issue and allotment (//) date : (dd/mm/yyyy)	
	EGM approval (//) date: (dd/mm/yyyy)	
10. Other (Please specify) At State price : currency	Class of shares issuable (Note 1)	
	Issue and allotment (//) date : (dd/mm/yyyy)	
	EGM approval (//) date: (dd/mm/yyyy)	
Total E. (Ordinary shares) (Preference shares) (Other class)		
Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):		(1)0 (2)0
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above (“Movements in Issued Share Capital”).)		

Remarks (if any):

Submitted by: Huang Chaoquan

Title: Secretary of the Board of Directors
(Director, Secretary or other duly authorised officer)

Notes :

- 1.State the class of shares (e.g. ordinary, preference or other).
 - 2.If there is insufficient space, please append the prescribed continuation sheet.
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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the under-signed, thereunto duly authorized.

HUANENG POWER
INTERNATIONAL, INC.

By /s/ Huang Chaoquan

Name: Huang Chaoquan

Title: Company Secretary

Date: January 4, 2018