

GOETZ JOHN
Form 4
August 11, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GOETZ JOHN

2. Issuer Name and Ticker or Trading Symbol
BIO RAD LABORATORIES INC
[BIO, BIOB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
EVP, Chief Operating Officer

(Last) (First) (Middle)
C/O BIO-RAD LABORATORIES,
INC., 1000 ALFRED NOBEL
DRIVE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/10/2017

HERCULES, CA 94547

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Bio-Rad A Common Stock | 08/10/2017 | | M | 2,600 | A \$ 107.32 | 63,811.3235 (1) | D |
| Bio-Rad A Common Stock | 08/10/2017 | | F | 1,978 | D \$ 215 | 61,833.3235 | D |
| Bio-Rad A Common Stock | 08/10/2017 | | M | 1,920 | A \$ 117 | 63,753.3235 | D |

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| | | | | | | | |
|------------------------------|------------|---|-------|---|--------------|-------------|---|
| Bio-Rad A Common Stock | 08/10/2017 | F | 1,502 | D | \$ 215 | 62,251.3235 | D |
| Bio-Rad A Common Stock | 08/10/2017 | M | 2,400 | A | \$ 119.54 | 64,651.3235 | D |
| Bio-Rad A Common Stock | 08/10/2017 | F | 1,891 | D | \$ 215 | 62,760.3235 | D |
| Bio-Rad A Common Stock | 08/10/2017 | M | 1,600 | A | \$ 139.56 | 64,360.3235 | D |
| Bio-Rad A Common Stock | 08/10/2017 | F | 1,332 | D | \$ 215 | 63,028.3235 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Non-Qualified Stock Option (right to buy) | \$ 107.32 | 08/10/2017 | | M | 2,600 | <u>(2)</u> 09/12/2022 | Bio-Rad A Common Stock | 2,600 | |
| Non-Qualified Stock Option (right to buy) | \$ 117 | 08/10/2017 | | M | 1,920 | <u>(3)</u> 09/11/2023 | Bio-Rad A Common Stock | 1,920 | |
| Non-Qualified Stock Option | \$ 119.54 | 08/10/2017 | | M | 2,400 | <u>(4)</u> 09/10/2024 | Bio-Rad A | 2,400 | |

(right to buy)

Non-Qualified
Stock Option
(right to buy)

\$ 139.56

08/10/2017

M

1,600

(5)

09/11/2025

Common
Stock

Bio-Rad
A
Common
Stock

1,600

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| GOETZ JOHN C/O BIO-RAD LABORATORIES, INC. 1000 ALFRED NOBEL DRIVE HERCULES, CA 94547 | | | EVP, Chief Operating Officer | |

Signatures

John Goetz

08/11/2017

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 37.1639 shares acquired under the Bio-Rad Employee Stock Purchase Plan on 06/30/2017.
- (2) The option vested in five equal annual installments beginning on September 12, 2013.
- (3) The option vested in five equal annual installments beginning on September 11, 2014.
- (4) The option vested in five equal annual installments beginning on September 10, 2015.
- (5) The option vested in five equal annual installments beginning on September 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.