OFFICEMAX INC Form 4

June 19, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 obligations may continue.

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* OCONNOR DEBORAH A

2. Issuer Name and Ticker or Trading Symbol

OFFICEMAX INC [OMX]

(Middle) 3. Date of Earliest Transaction (Month/Day/Year)

06/17/2013

C/O OFFICEMAX INCORPORATED, 263 SHUMAN **BLVD** 

(State)

(First)

(Street)

(Zip)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title Other (specify below)

SVP & Chief Accounting Officer

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NAPERVILLE, IL 60563

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

TransactionAcquired (A) or Code (Month/Day/Year) (Instr. 8)

3. 4. Securities Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

53,729 (1)

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: OFFICEMAX INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Der Sec Acc (A) Diss of (Ins	rivative curities quired or posed		ate	7. Title and Underlying (Instr. 3 and	Securities	8. Pri Deriv Secu (Insti
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 4.24 (2)						(3)	02/12/2016	Common Stock	28,312	
Employee Stock Option (Right to Buy)	\$ 12.82 (4)						(5)	02/11/2017	Common Stock	20,034	
Employee Stock Option (Right to Buy)	\$ 14.89 (6)						<u>(7)</u>	02/09/2018	Common Stock	19,343	
Employee Stock Option (Right to Buy)	\$ 4.92 (8)						<u>(9)</u>	02/16/2019	Common Stock	25,637	

## **Reporting Owners**

Reporting Owner Name / Address	Relationsnips					
• 5	Director	10% Owner	Officer	Other		
OCONNOR DEBORAH A						
C/O OFFICEMAX INCORPORATED			SVP & Chief Accounting Officer			
263 SHUMAN BLVD			$\boldsymbol{\omega}$			

## **Signatures**

NAPERVILLE, IL 60563

/s/ Susan Wagner-Fleming by POA from Deborah	06/19/2013
O'Connor	00/19/2013

Reporting Owners 2 \*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of shares beneficially owned reflects an equitable adjustment on June 17, 2013 to the reporting person's restricted stock units, (1) made pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.
- This stock option was previously reported as covering 25,000 shares at an exercise price of \$4.80 per share. The exercise price and the number of shares underlying this stock option reflects an equitable adjustment made on June 17, 2013 pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.
- (3) The stock option vested in three equal annual installments beginning on February 12, 2010.
- This stock option was previously reported as covering 17,690 shares at an exercise price of \$14.52 per share. The exercise price and the number of shares underlying this stock option reflects an equitable adjustment made on June 17, 2013 pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.
- (5) The stock option vested in three equal annual installments beginning on February 11, 2011.
- This stock option was previously reported as covering 17,080 shares at an exercise price of \$16.86 per share. The exercise price and the number of shares underlying this stock option reflects an equitable adjustment made on June 17, 2013 pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.
- (7) The stock option vests in three equal annual installments beginning on February 9, 2012.
- This stock option was previously reported as covering 22,638 shares at an exercise price of \$5.57 per share. The exercise price and the number of shares underlying this stock option reflects an equitable adjustment made on June 17, 2013 pursuant to the anti-dilutive provisions of the Company's OMIPP as a result of a special cash dividend to be paid to shareholders of record as of the close of business on June 12, 2013.
- (9) The stock option vests in three equal annual installments beginning on February 16, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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