Edgar Filing: HOUSTON AMERICAN ENERGY CORP - Form 4

HOUSTON AMERICAN ENERGY CORP Form 4 February 01, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading JAGEMAN ROY W Issuer Symbol HOUSTON AMERICAN ENERGY (Check all applicable) CORP [HUSA] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 801 TRAVIS STREET, SUITE 1425 01/31/2017 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting HOUSTON, TX 77002 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 1.Title of 3. 4. Securities 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Beneficially (D) or Indirect Beneficial Disposed of (D) any (Instr. 8) (Month/Day/Year) (Instr. 3, 4 and 5) Owned Ownership **(I)** (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)

number.

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Se Ac (A Di of (Ir	erivative ecurities cquired (A) or isposed (D) nstr. 3, and 5)				
				Code	v	(A	A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Option (Right to Buy)	\$ 0.2158							10/23/2015	04/23/2025	Common Stock	8,333
Stock Option (Right to Buy)	\$ 0.2028							12/09/2015	06/09/2025	Common Stock	50,000
Stock Option (Right to Buy)	\$ 0.1982							09/15/2016	03/15/2026	Common Stock	10,000
Stock Option (Right to Buy)	\$ 0.2201							12/07/2016	06/07/2026	Common Stock	50,000
Stock Option (Right to Buy)	\$ 0.2201							06/07/2017	06/07/2026	Common Stock	150,000
12% Series A Convertible Preferred Stock	\$ 0.2	01/31/2017		Р		2:	5	01/31/2017	12/31/2050 <u>(1)</u>	Common Stock	125,000

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Reporting Owners

Reporting Owner Name / AddressRelationshipsDirector10% OwnerOfficerOtherJAGEMAN ROY W
801 TRAVIS STREET, SUITE 1425XVV

HOUSTON, TX 77002

Signatures

Roy Jageman

02/01/2017

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Convertible preferred stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.