

TARTAGLIA THOMAS G  
Form 5  
February 03, 2005

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
TARTAGLIA THOMAS G

2. Issuer Name and Ticker or Trading Symbol  
CATHAY GENERAL BANCORP [CATY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2004

Director  10% Owner  
 Officer (give title below)  Other (specify below)

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2004	Â	J <sup>(1)</sup>	Amount (A) or (D) Price 135 <sup>(2)</sup> A \$ 31.21 <sup>(2)</sup>	14,470 <sup>(2)</sup>	I	By ESOP
Common Stock	04/20/2004	Â	J <sup>(3)</sup>	135.418 <sup>(2)</sup> A \$ 31.72 <sup>(2)</sup>	62,376.78 <sup>(2)</sup> <sup>(4)</sup>	I	T. Tartaglia Trust
Common Stock	07/20/2004	Â	J <sup>(3)</sup>	139.174 <sup>(2)</sup> A \$ 30.933 <sup>(2)</sup>	62,376.78 <sup>(2)</sup> <sup>(4)</sup>	I	T. Tartaglia Trust

Edgar Filing: TARTAGLIA THOMAS G - Form 5

Common Stock	10/22/2004	Â	J <sup>(3)</sup>	154.734	A	\$ 35.852	62,376.78 <u>(2)</u> <u>(4)</u>	I	T. Tartaglia Trust
-----------------	------------	---	------------------	---------	---	--------------	------------------------------------	---	--------------------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 10.625 <u>(2)</u>	Â	Â	Â	Â Â	01/20/2005 <sup>(5)</sup>	01/20/2010	Common Stock	400 <u>(2)</u>
Stock Option	\$ 15.0475 <u>(2)</u>	Â	Â	Â	Â Â	01/18/2005 <sup>(6)</sup>	01/18/2011	Common Stock	800 <u>(2)</u>
Stock Option	\$ 16.275 <u>(2)</u>	Â	Â	Â	Â Â	02/21/2005 <sup>(7)</sup>	01/21/2012	Common Stock	1,200 <u>(2)</u>
Stock Option	\$ 19.925 <u>(2)</u>	Â	Â	Â	Â Â	01/16/2005 <sup>(8)</sup>	01/16/2013	Common Stock	3,040 <u>(2)</u>
Stock Option	\$ 24.8 <u>(2)</u>	Â	Â	Â	Â Â	11/20/2004 <sup>(9)</sup>	11/20/2013	Common Stock	11,000 <u>(2)</u>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TARTAGLIA THOMAS G 777 NORTH BROADWAY LOS ANGELES, CA 90012	Â X	Â	Â	Â

## Signatures

Thomas G.  
Tartaglia

02/03/2005

Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Adjusted to reflect a 2-for-1 stock split effective 9/28/04.
- (3) Dividend Reinvestment.
- (4) 583 shares transferred from his direct ownings.
- (5) The option vests in 1 equal annual installments beginning 1/20/05.
- (6) The option vests in 2 equal annual installments beginning 1/18/05.
- (7) The option vests in 3 equal annual installments beginning 2/21/05.
- (8) The option vests in 4 equal annual installments beginning 1/16/05.
- (9) The option vests in 5 equal annual installments beginning 11/20/04.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.