Edgar Filing: FORMFACTOR INC - Form 4

EODMEACTOD INC

| FORMFAC | | | | | | | | | | |
|--|---|---|--------------------------------------|-----------------------|---|------------------|--|--|--|-----------|
| February 12 | ЛЛ | | | | | | | | OMB AF | PROVAL |
| | UNITEI |) STATES | | | AND EX , D.C. 20 | | NGE CO | OMMISSION | OMB Number: | 3235-0287 |
| Check the if no lon subject the Section Form 4 | 6. STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES | | | | | | ERSHIP OF Estimated a burden hou response | | | |
| Form 5 obligatio may cor <i>See</i> Instr 1(b). | ons Section 17 | 7(a) of the | Public U | tility Hol | | npany | y Act of | Act of 1934, 1935 or Section) | I | |
| (Print or Type | Responses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> St Dennis Thomas | | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Che | | | | (Check | ck all applicable) | | |
| 7005 SOUTHFRONT ROAD 0 (Street) 4 | | | 02/10/2014 - | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) CEO | | | |
| | | | | Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | |
| LIVERMO | RE, CA 94551 | | | | | | | Person | | porting |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secur | ities Acqu | ired, Disposed of, | or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | ansaction Date 2A. Deemed th/Day/Year) Execution Date any (Month/Day/Y | | | Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5) ny/Year) (Instr. 8) | | | | SecuritiesOwnershipBeneficiallyForm:OwnedDirect (D)Followingor IndirectReported(I) | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 02/10/2014 | | | М | 16,667 (1) | А | \$ 0 | 60,276 | D | |
| Common Stock | 02/11/2014 | | | S | 6,990 (2) | D | \$ 6.4684 | 53,286 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | erivative Expiration Date ecurities (Month/Day/Year) cquired (A) r Disposed of D) nstr. 3, 4, | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. l De Sec (In |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|--------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | \$ 0 | 02/10/2014 | | М | 16,667 | (3) | (4) | Common Stock | 16,667 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| St Dennis Thomas 7005 SOUTHFRONT ROAD LIVERMORE, CA 94551 | Х | | CEO | | | |
| Signatures | | | | | | |

| By: /s/ Stuart L Merkadeau, Attorney-in-Fact For: Thomas St. Dennis | 02/12/2014 |
|---|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents vested shares of common stock issued pursuant to the second conversion of one-third of the restricted stock units granted (1)May 4, 2012.
- Represents vested shares of common stock sold to satisfy certain tax withholding obligations associated with the conversion of the (2)restricted stock units.
- The Restricted Stock Units vest and are exercisable in three annual installments on each February 10 of 2013, 2014, and 2015 (or the (3) first market trading day during an open trading window under the Issuer's insider trading policy thereafter if the applicable vesting date is not on a market trading day during an open trading window).
- (4) The restricted stock units released were settled in shares of common stock and were immediately cancelled upon settlement.

Remarks:

THE CONFIRMING STATEMENT GRANTING THE ATTORNEY-IN-FACT THE AUTHORITY TO EXECUTE AND FI

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.