Edgar Filing: RETRACTABLE TECHNOLOGIES INC - Form 4

RETRACTABLE TECHNOLOGIES INC

Form 4 October 09, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

KUHLMAN RUSSELL B

(Last) (First) (Middle)

(State)

(Zip)

511 LOBO LANE, PO BOX 9

(Street)

LITTLE ELM, TX 75068

2. Issuer Name and Ticker or Trading

Symbol

RETRACTABLE TECHNOLOGIES INC [RVP] 3. Date of Earliest Transaction

(Month/Day/Year) 10/06/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code (Month/Day/Year)

Disposed of (D) (Instr. 8)

Code V Amount

(Instr. 3, 4 and 5)

(A)

or

(D)

Price

Securities Beneficially Owned Following Reported

(Instr. 3 and 4)

5. Amount of

Issuer

below)

Person

Transaction(s)

Director

Applicable Line)

X_ Officer (give title

Indirect (I) (Instr. 4)

(D) or

Form: Direct

Beneficial Ownership (Instr. 4)

Indirect

6. Ownership 7. Nature of

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP, Sales

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

10% Owner

Other (specify

Estimated average

burden hours per

D

Common Stock

(City)

1.Title of

Security

(Instr. 3)

46,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option (incentive)	\$ 1.3	10/06/2014		X	20,000	11/18/2009	11/18/2018	Common Stock	20,000
Option (incentive)	\$ 0.81	10/06/2014		X	25,000	07/15/2010	07/15/2019	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KUHLMAN RUSSELL B 511 LOBO LANE PO BOX 9			VP, Sales				
LITTLE ELM, TX 75068							

Signatures

Russell B.
Kuhlman

**Signature of Person

Date

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This item is inapplicable for a stock option exercised at the exercise price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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