Edgar Filing: BOK FINANCIAL CORP ET AL - Form 3

BOK FINANCIAL CORP ET AL

Form 3

March 10, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Piper Patrick E.

(Last)

(First)

(Street)

C/O FREDERIC DORWART.

LAWYERS, 124 EAST FOURTH STREET

(Middle)

Statement

(Month/Day/Year)

03/01/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BOK FINANCIAL CORP ET AL [BOKF]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

_X__ Officer Other (give title below) (specify below) EVP - Consumer Banking

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

TULSA,, OKÂ 74103

(City) (State) (Zip)

(Instr. 4)

1. Title of Security

2. Amount of Securities

(Instr. 4)

Beneficially Owned

3. Ownership Form:

(Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

Table I - Non-Derivative Securities Beneficially Owned

(I)

Â Common Stock $28,173 \frac{(1)}{2}$ D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative Derivative Security:

Edgar Filing: BOK FINANCIAL CORP ET AL - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
2005 Stock Options	(2)	(3)	Common Stock	744	\$ 47.34	D	Â
2006 Stock Options	(2)	(3)	Common Stock	1,486	\$ 47.05	D	Â
2007 Stock Options	(2)	(3)	Common Stock	2,144	\$ 54.33	D	Â
2008 Stock Options	(2)	(3)	Common Stock	3,544	\$ 48.46	D	Â
2009 Stock Options	(2)	(3)	Common Stock	9,633	\$ 36.65	D	Â
2010 Stock Options	(2)	(3)	Common Stock	2,288	\$ 48.3	D	Â
2011 Stock Options	(2)	(3)	Common Stock	2,541	\$ 55.94	D	Â
2012 Stock Options	(2)	(3)	Common Stock	7,840	\$ 58.76	D	Â
2013 Stock Options	(2)	(3)	Common Stock	9,307	\$ 55.74	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
. 0	Director	10% Owner	Officer	Other	
Piper Patrick E. C/O FREDERIC DORWART, LAWYERS 124 EAST FOURTH STREET TULSA,, OK 74103	Â	Â	EVP - Consumer Banking	Â	

Signatures

/s/ Frederic Dorwart, Power of Attorney 03/10/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 12,641 of these shares represent restricted stock which vests 5 years from the date of grant. Shares are subject to forfeiture (i) upon (1) termination of employment prior to vesting, and (ii) certain performance earnings per share targets established pursuant to BOKF Executive Incentive Plan are not met.
- (2) For options granted in any one year, one-seventh of the options of such grant vest and become exercisable on the grant date of the anniversary each year commencing on the first anniversary after the grant.

Reporting Owners 2

Edgar Filing: BOK FINANCIAL CORP ET AL - Form 3

(3) Options expire 3 years after vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.