SWISS HELVETIA FUND, INC.

Form 4 July 09, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

1(b).

(Last)

(City)

may continue. See Instruction

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

TAKACSY ALEXANDRE

(First) (Middle)

FINANCIERE HOTTINGUER, 43

RUE TAITBOUT

(Street)

PARIS FRANCE 75009, IO 0000

(State)

2. Issuer Name and Ticker or Trading

Symbol

SWISS HELVETIA FUND, INC. [SWZ]

3. Date of Earliest Transaction

(Month/Day/Year) 06/27/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

Applicable Line)

5. Amount of

Securities

Owned

Beneficially

Following

Officer (give title

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of Derivative Conversion

(Month/Day/Year) Execution Date, if TransactionNumber

5.

6. Date Exercisable and **Expiration Date**

7. Title and Amount of

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Former Director

6. Ownership

Form: Direct

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

below)

10% Owner _X_ Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

(D) or Indirect Beneficial

Estimated average

burden hours per

8. Price of 9. Nu Derivative Deriv

1

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Security	or Exercise	any	Code	of		(Month/Day	/Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of	(Month/Day/Year)	(Instr. 8) Deri	Derivative Securities Acquired			Securities		(Instr. 5)	Bene
	Derivative			Seci				(Instr. 3 and 4)	. 3 and 4)		Owne
	Security			Acq							Follo
				(A)	or						Repo
					Disposed of (D) (Instr. 3, 4, and 5)						Trans
				of (I							(Instr
				(Inst							`
				4, ar							
			Code	V (A)	(D)	Date	Expiration	Titla	Amount		
			Code	v (A)	(D)	Exercisable		Title			
									or		
									Number		
									of		
									Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TAKACSY ALEXANDRE FINANCIERE HOTTINGUER 43 RUE TAITBOUT

Former Director

PARIS FRANCE 75009, I0 0000

Signatures

Rudolf Millisits 07/09/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Mr. de Takacsy resigned as a Director of The Swiss Helvetia Fund, Inc. (the "Fund") effective as of 06/27/2014 following the Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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