Edgar Filing: BROWN MICHAEL S - Form 4

Form 4 January 03, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject o Section 16. Form 4 or Section 16. Section 16. Form 4 or Section 16. Section 16. Section 16. Section 16. Section 16. Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1936 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 (b) (Print or Type Responses) 1. Name and Address of Reporting Person 1 (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable Line Structure (REGN) (Last) (Street) 4. If Amendment, Date Original Filed/Month/Day/Year) (Giv) (State) (Zp) Table 1- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities (Month/Day/Year) (Check all applicable Line) (Check all applicable Line) (Check all applicable Line) (Check all applicable Line (Check all applicable Line) (Check all applicable Line (Check all applicable Line) (Check a	BROWN MIC	HAEL S									
Check this box if on section 16. UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if on section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Check this box if on section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Check this box if on section 17(a) of the Securities Exchange Act of 1935, obligations may continue. Statement to Section 16(a) of the Securities Exchange Act of 1935, section 17(a) of the Public Utility Holding Company Act of 1940. Statemate average burden hours per section 17(a) of the Public Utility Holding Company Act of 1940. (Print or Type Response) 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol REGENERON PHARMACEUTICALS INC (REGN) S. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person 2 (Month/Day/Year) 3. Just of Earliest Transaction (Month/Day/Year) Check all applicable) 777 OLD SAW MILL RIVER OAD 01/02/2018 Olffeer (give tile											
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Image: PHARMACEUTICALS INC [REGN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) $\frac{-X_{-Director}}{Difficer (give title} = 10\% Owner (plow) 777 OLD SAW MILL RIVER ROAD 01/02/2018 01/02/2018 \frac{-X_{-Director}}{Difficer (give title} = 10\% Owner (plow) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) $	BROWN MICHAEL S (Last) (First) (Middle) 777 OLD SAW MILL RIVER			Symbol							
Month/Day/Year) below) 777 OLD SAW MILL RIVER ROAD 01/02/2018 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) TARRYTOWN, NY 10591 5. Form filed by One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities 5. Amount of Securities 1. Title of Security 2. Transaction Date Any (Month/Day/Year) 3. 4. Securities Acquired (A) or Code Disposed of (D) Beneficially 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficial (Instr. 3) (Instr. 3) (Month/Day/Year) 3. 4. Securities (Instr. 3, 4 and 5) 5. Amount of Code V Amount (D) Price (A) Reported Transaction(s) (Instr. 4) (Instr. 4) (Instr. 4)				PHARMACEUTICALS INC [REGN]				X Director 10% Owner			
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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	d 7. Title and A Underlying S (Instr. 3 and	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Non-Qualified Stock Option (right to buy)	\$ 380.95	01/02/2018		А	7,439	<u>(1)</u>	01/02/2028	Common Stock	7,43

Reporting Owners

Reporting Owner	Relationships					
	Director	10% Owner	Officer	Other		
BROWN MICHAEI 777 OLD SAW MIL TARRYTOWN, NY	Х					
Signatures						
/s/**Michael S.						
Brown	01/03/2018					
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option becomes exercisable in three equal annual installments, commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.