### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4

August 07, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

Form filed by More than One Reporting

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Van Plew Daniel P			2. Issuer Name and Ticker or Trading Symbol REGENERON PHARMACEUTICALS INC [REGN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  Director 10% Owner			
(Last) (First) (Middle) 777 OLD SAW MILL RIVER ROAD		,	3. Date of Earliest Transaction (Month/Day/Year) 08/05/2015	_X_ Officer (give title Other (specibelow)  SVP & General Mgr Industrial O			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			

#### TARRYTOWN, NY 10591

(City)	(State)	(Zip) Table	e I - Non-D	<b>Derivative</b>	Secur	rities Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	sposed 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/05/2015		M	4,605	A	\$ 30.63	4,605	D	
Common Stock	08/05/2015		F	239	D	\$ 588.64	4,366	D	
Common Stock	08/05/2015		F	2,251	D	\$ 588.64	2,115	D	
Common Stock	08/05/2015		M	4,095	A	\$ 21.25	6,210	D	
	08/05/2015		F	147	D		6.063	D	

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Common Stock					\$ 588.64			
Common Stock	08/05/2015	F	2,035	D	\$ 588.64	4,028	D	
Common Stock	08/06/2015	S	1,000	D	\$ 582.12 (1)	3,028	D	
Common Stock	08/06/2015	S	1,000	D	\$ 583.89 (2)	2,028	D	
Common Stock	08/06/2015	S	900	D	\$ 585.02 (3)	1,128	D	
Common Stock	08/06/2015	S	1,128	D	\$ 586.24 (4)	0	D	
Common Stock						18,705	I	by GRAT
Common Stock						1,426	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 21.25	08/05/2015		M	4,095	(5)	12/18/2019	Common Stock	4,09
	\$ 30.63	08/05/2015		M	4,605	<u>(6)</u>	12/14/2020		4,60

Non-Qualified
Stock Option
(right to buy)

Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Van Plew Daniel P 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591

SVP & General Mgr Industrial O

## **Signatures**

/s/\*\*Daniel P. Van Plew 08/07/2015

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents volume-weighted average price of sales of 1,000 shares of Company stock on August 6, 2015 at prices ranging from \$582.10 (1) to \$582.15. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 6, 2015 at each separate price.
- Represents volume-weighted average price of sales of 1,000 shares of Company stock on August 6, 2015 at prices ranging from \$583.88 (2) to \$584.00. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 6, 2015 at each separate price.
- Represents volume-weighted average price of sales of 900 shares of Company stock on August 6, 2015 at prices ranging from \$585.00 to (3) \$585.06. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 6, 2015 at each separate price.
- Represents volume-weighted average price of sales of 1,128 shares of Company stock on August 6, 2015 at prices ranging from \$586.24 (4) to \$586.25. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 6, 2015 at each separate price.
- (5) The stock option award (combined incentive stock option and non-qualified stock option) vests in four equal annual installments, commencing one year after the date of grant.
- The option became exercisable with respect to all shares underlying the option on December 31, 2013, based upon the satisfaction by the company of certain performance criteria during the period ended December 31, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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