#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

REGENERON PHARMACEUTICALS INC Form 4

November 29	9, 2013												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL				
WashCheck this boxif no longersubject toSection 16.Form 4 orForm 5obligationsmay continue.20(h) of the Juny					on, l N B JRI the oldi	D.C. 205 BENEFI TIES Securition	3 <b>49</b> CIAI es Ex pany	C OW	NERSHIP OF te Act of 1934, f 1935 or Sectio	Number: Expires: Estimated a burden hou response	irs per		
<i>See</i> Instru 1(b).	iction	00(11)		estine		Joinpuny	1100	01 17					
(Print or Type R	Responses)												
1. Name and Address of Reporting Person <u>*</u> SHOOTER ERIC M			Symbol REGEN	REGENERON						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	PHARM [REGN]	PHARMACEUTICALS INC [REGN]						X Director 10% Owner					
(Last)	(First)	(Middle)	3. Date of Earliest Transaction —				Officer (give below)		er (specify				
777 OLD SA ROAD	AW MILL RIV	/ER	11/26/20	)13									
				ndment, Date Original th/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
TARRYTO	WN, NY 1059	1							Person	viore than One Ro	eporting		
(City)	(State)	(Zip)	Table	e I - Nor	1-De	erivative S	ecurit	ies Acc	uired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed Month/Day/Year) Execution I any (Month/Day		n Date, if Transaction Code			ties (A) o l of (D 4 and (A) or (D)	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/26/2013			С		8,185	A	\$0	8,185	Ι	by Trust (1)		
Common Stock	11/26/2013			G <u>(2)</u>	v	7,275	D	\$0	910	Ι	by Trust (1)		
Common Stock	11/26/2013			$G^{(2)}$	v	910	D	\$0	0	Ι	by Trust (1)		
Common Stock									2,500	Ι	GRAT self		
									2,500	Ι			

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Common Stock GRAT

spouse

1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A Stock	<u>(3)</u>	06/06/2013		G <u>(4)</u>		2,778 (5)	(6)	(7)	Common Stock	2,778
Class A Stock	\$ 0 <u>(3)</u>	08/08/2013		G		4,857 (8)	(6)	(7)	Common Stock	4,857
Class A Stock	\$ 0 <u>(3)</u>	08/08/2013		G		4,857 (8)	(6)	(7)	Common Stock	4,857
Class A Stock	<u>(3)</u>	06/06/2013		G <u>(9)</u>		2,778 (5)	(6)	(7)	Common Stock	2,778
Class A Stock	<u>(3)</u>	06/06/2013		G	5,556 (5)		(6)	(7)	Common Stock	5,556
Class A Stock	<u>(3)</u>	08/08/2013		G	9,714 (8)		(6)	(7)	Common Stock	9,714
Class A Stock	<u>(3)</u>	11/26/2013		С		8,185	(6)	(7)	Common Stock	8,185
Class A Stock	<u>(3)</u>	06/06/2013		G <u>(9)</u>	14,444 (4)		<u>(6)</u>	(7)	Common Stock	14,444

### **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer Other

#### SHOOTER ERIC M 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591

## Signatures

/s/\*\*Eric M. Shooter

11/28/2013

<u>\*\*</u>Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person and his spouse are co-trustees and beneficiaries of the Shooter Family Trust.
- (2) Gift of Common Stock to a charitable foundation.
- (3) Class A Stock of Regeneron Pharmaceuticals, Inc. ("Regeneron") converts to Common Stock of Regeneron on a one-to-one basis upon certain events or upon election of the shareholder.
- (4) Following termination of a GRAT of the reporting person, 2,778 shares of Class A Stock were transferred to the Shooter Family Trust and 7,222 shares of Class A Stock were transferred to a trust for the benefit of the reporting person's daughter, as indicated in this report.
- (5) The reporting person and his spouse each contributed 2,778 shares of Class A Stock to the Shooter Family Trust.
- (6) Exercisable date, exercise date, exercise price, purchase price, sales price, and/or expiration date is/are not applicable in this case.
- (7) Shares of Class A Stock are convertible at any time on a share-for-share basis into Common Stock.
- (8) The reporting person and his spouse each contributed 4,857 shares of Class A Stock received as distribution from their respective GRATs to the Shooter Family Trust.
- Following termination of a GRAT of the reporting person's spouse, 2,778 shares of Class A Stock were transferred to the Shooter
   (9) Family Trust and 7,222 shares of Class A Stock were transferred to a trust for the benefit of the reporting person's daughter, as indicated in this report.
- (10) A trust for the benefit of the reporting person's adult daughter, of which the reporting person and his spouse serve as co-trustees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.