DeCourcy Patrick J Form 4 March 01, 2019

## FORM 4

# OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

DeCourcy Patrick J

2. Issuer Name **and** Ticker or Trading

Symbol

ALLEGHENY TECHNOLOGIES

INC [ATI]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

02/27/2019

1000 SIX PPG PLACE

4. If Amendment, Date Original Filed(Month/Day/Year)

ter or Trading 5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Number:

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

\_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

below) below)

Senior VP, Finance and CFO

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

#### PITTSBURGH, PA 15222

		I CISOII							
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.10 per share	02/27/2019		A	15,381 (1)	A	\$ 29.255 (2)	98,168.1731	D	
Common Stock, par value \$0.10 per share	02/27/2019		F	3,187 ( <u>3)</u>	D	\$ 29.255 (2)	94,981.1731	D	
Common Stock, par	02/28/2019		M	22,285 (4)	A	\$ 28.92 (5)	117,266.1731	D	

#### Edgar Filing: DeCourcy Patrick J - Form 4

value \$0.10 per share

Common Stock, par

value 02/28/2019

9.690 F

107,576.1731 D

Other

9. Nu

Deriv

Secu

Bene

Own Follo

Repo

Trans

(Insti

\$0.10 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 8. Price of 1. Title of 2. 4. 5. 6. Date Exercisable and 7. Title and Amount of Derivative Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Security or Exercise Code (Month/Day/Year) Underlying Security of(Instr. 3) Securities Price of (Instr. 8) Derivative (Instr. 5) (Month/Day/Year) Securities Derivative (Instr. 3 and 4) Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount Date Expiration Title Number Exercisable Date Code V (A) (D) Shares

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer

DeCourcy Patrick J 1000 SIX PPG PLACE PITTSBURGH, PA 15222

Senior VP, Finance and CFO

**Signatures** 

/s/ Elliot S. Davis, Attorney-in-Fact for Patrick J. **DeCourcy** 

03/01/2019

\*\*Signature of Reporting Person

Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of restricted stock units granted on February 27, 2019, which are settled in shares of stock upon vesting. The award vests in three equal annual installments on each of the first three anniversaries of the grant date.
- (2) Represents the average of the high and low trading prices for one share of the Issuer's common stock on the New York Stock Exchange on February 27, 2019.
- (3) Shares withheld for payment of taxes on performance/restricted stock granted in 2014 that vested on February 27, 2019.
  - Settlement of performance-vested restricted stock units that were granted in 2016, the vesting of which was contingent upon the Issuer's achievement of specified performance criteria during the period from January 1, 2016 through December 31, 2018 (the "2016-2018").
- PSUs"). The Issuer's partial achievement of such performance criteria was certified, and a corresponding portion of the shares underlying the 2016-2018 PSUs became payable, as of February 28, 2019.
- (5) Represents the average of the high and low trading prices for one share of the Issuer's common stock on the New York Stock Exchange on February 28, 2019.
- (6) Shares withheld for payment of taxes in connection with the February 28, 2019 settlement of the 2016-2018 PSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.