Anderson Karen M. Form 3 January 03, 2019

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Anderson Karen M.

(Last)

(First)

(Middle)

(Zip)

Statement

(Month/Day/Year)

01/03/2019

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Mimecast Ltd [MIME]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Chief Human Resources Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O MIMECAST NORTH AMERICA, INC., Â 191 SPRING STREET

(Street)

Director \_X\_\_ Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

LEXINGTON, MAÂ 02421

(City) (State)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. 4 Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Amount or

Derivative Price of Derivative Security: Security Direct (D)

**Expiration Title** Exercisable Date

Date

Number of

## Edgar Filing: Anderson Karen M. - Form 3

Shares

or Indirect (I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Relationships Other

Anderson Karen M.

C/O MIMECAST NORTH AMERICA, INC. 191 SPRING STREET

Director 10% Owner Officer

Chief Human Resources Officer Â

**Signatures** 

/s/ Robert P. Nault, Attorney-in-Fact

01/03/2019

Â

\*\*Signature of Reporting Person

LEXINGTON, MAÂ 02421

Date

# **Explanation of Responses:**

## No securities are beneficially owned

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

## **Remarks:**

Exhibit 24 Power of Attorney (filed herewith).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2