Evans Andrew W Form 4 January 03, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Evans Andrew W

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

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January 31,

2005

0.5

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Number:

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response...

Symbol SOUTHERN CO [SO]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner

30 IVAN ALLEN JR. BLVD., NW

(Street)

(Month/Day/Year) 12/31/2018

Other (specify X\_ Officer (give title

(Check all applicable)

below) Executive Vice President & CFO

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

ATLANTA, GA 30308

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Southern Company Common Stock	12/31/2018		Code V  M	Amount 46,055	(D)	Price \$ 0 (1)	(Instr. 3 and 4) 97,385	D	
Southern Company Common Stock	12/31/2018		F	20,886 (2)	D	\$ 43.92	76,499	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

#### Edgar Filing: Evans Andrew W - Form 4

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Southern Co Restricted Stock Units (3)	\$ 0 (1)	12/31/2018		M	46,055	12/31/2018	12/31/2018	Southern Company Common Stock	46,055

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Evans Andrew W 30 IVAN ALLEN JR. BLVD., NW ATLANTA, GA 30308

Executive Vice President & CFO

### **Signatures**

/s/ Marcia R. DeMar, Attorney-in-Fact for Andrew W. Evans

01/03/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit (RSU) represents the right to receive, at settlement, one share of common stock.
- (2) Shares withheld to satisfy required state and federal tax withholding requirements.

As reported in a Form 4 filed on July 6, 2016, in accordance with the Agreement and Plan of Merger dated August 23, 2015 by and among AGL Resources Inc., The Southern Company and AMS Corp., outstanding performance share units of AGL Resources Inc. were assumed by Southern Company and converted into time vesting RSUs of Southern Company. Vesting of the RSUs is as follows: 28,704 RSUs vest December 31, 2016; 37,980 RSUs vest December 31, 2017; and 46,055 RSUs vest December 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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