

Vander Ark Jon
Form 3
January 02, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

^ Vander Ark Jon
(Last) (First) (Middle)

18500 N. ALLIED WAY
(Street)

PHOENIX, AZ 85054
(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
01/01/2018

3. Issuer Name and Ticker or Trading Symbol
REPUBLIC SERVICES, INC. [RSG]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer ____ Other
(give title below) (specify below)
EVP-Chief Operating Officer

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

0

D ^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: Vander Ark Jon - Form 3

				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (02/07/2014 Grant Date)	Â <u>(1)</u>	02/07/2021	Common Stock	5,282	\$ 33.4	D	Â
Restricted Stock Units (02/08/2013 Grant Date)	Â <u>(2)(3)</u>	Â <u>(2)(3)</u>	Common Stock	5,467	\$ <u>(2)</u> <u>(3)</u>	D	Â
Restricted Stock Units (02/07/2014 Grant Date)	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	7,150	\$ <u>(4)</u>	D	Â
Restricted Stock Units (02/07/2014 Grant Date)	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock	6,595	\$ <u>(5)</u>	D	Â
Restricted Stock Units (02/13/2015 Grant Date)	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock	10,578	\$ <u>(6)</u>	D	Â
Restricted Stock Units (02/18/2016 Grant Date)	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	5,988	\$ <u>(3)</u>	D	Â
Restricted Stock Units (02/18/2016 Grant Date)	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	5,704	\$ <u>(7)</u>	D	Â
Restricted Stock Units (02/18/2017 Grant Date)	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	8,777	\$ <u>(3)</u>	D	Â
Restricted Stock Units (04/07/2017 Grant Date)	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	80,428	\$ <u>(8)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Vander Ark Jon 18500 N. ALLIED WAY PHOENIX, AZ 85054	Â	Â	Â EVP-Chief Operating Officer	Â

Signatures

/s/ Eileen B. Schuler
Attorney-in-Fact

01/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended.
- (2) Represents the deferred portion of the RSU Grant dated 02/08/2013 in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.
- (3) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the

Edgar Filing: Vander Ark Jon - Form 3

Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

- (4) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion). A portion of the RSU Grant is deferred in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.

- (5) Special Grant cliff vesting occurs on 02/07/2018, four years of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion). A portion of the RSU Grant is deferred in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.

- (6) Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Includes 6,498 RSUs related to the annual grant and 4,080 RSUs related to an additional grant in accordance with the executive's offer letter. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion). Portions of each RSU Grant is deferred in accordance with Mr. Vander Ark's election under the Company's Deferred Compensation Plan.

- (7) Special Grant cliff vesting occurs on 02/18/2020, four years of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

- (8) Special Grant cliff vesting occurs on 04/07/2022, five years of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.