#### FROST PHILLIP MD ET AL

Form 4

August 25, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

Symbol

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

FROST PHILLIP MD ET AL

		O	Opko Health, Inc. [OPK]				(Check all applicable)		
(Month)			Date of Earliest T Month/Day/Year) 8/24/2017	ransaction			_X_ Director _X_ Officer (give below)	_X_ 10%	6 Owner
MIAMI, FI	Filed(Mo			ate Originar)	al		6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		3. Pate, if Transacti Code /Year) (Instr. 8)	4. Securon(A) or D (Instr. 3,	ities A ispose 4 and (A) or	equired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
Stock							3,068,951	D	
Common Stock	08/24/2017		P	500	A	\$ 6.14	164,199,943	I	See Footnote
Common Stock	08/24/2017		P	2,000	A	\$ 6.15	164,201,943	I	See Footnote (1)
Common Stock	08/24/2017		P	500	A	\$ 6.19	164,202,443	I	See Footnote (1)

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Common Stock	08/24/2017	P	1,000	A	\$ 6.23	164,203,443	I	See Footnote (1)
Common Stock	08/24/2017	P	400	A	\$ 6.255	164,203,843	I	See Footnote (1)
Common Stock	08/24/2017	P	600	A	\$ 6.26	164,204,443	I	See Footnote (1)
Common Stock	08/24/2017	P	1,000	A	\$ 6.27	164,205,443	I	See Footnote (1)
Common Stock	08/24/2017	P	100	A	\$ 6.275	164,205,543	I	See Footnote (1)
Common Stock	08/24/2017	P	2,900	A	\$ 6.28	164,208,443	I	See Footnote (1)
Common Stock	08/24/2017	P	1,000	A	\$ 6.29	164,209,443	I	See Footnote (1)
Common Stock						20,091,062	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title Amount or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b>F</b> •- • <b>g</b> • • • • • •	Director	10% Owner	Officer	Other				
FROST PHILLIP MD ET AL OPKO HEALTH, INC. 4400 BISCAYNE BLVD. MIAMI, FL 33137	X	X	CEO & Chairman					
Frost Gamma Investments Trust 4400 BISCAYNE BLVD. MIAMI, FL 33137		X						
Signatures								
Phillip Frost, M.D., Individually a Trustee	and	08/25	/2017					

### **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost

- (1) partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- These securities are owned directly by The Frost Group, LLC. Frost Gamma Investments Trust is a principal member of The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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