#### Edgar Filing: PPG INDUSTRIES INC - Form 3

#### PPG INDUSTRIES INC

Form 3

March 08, 2017

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PPG INDUSTRIES INC [PPG] Morales Vincent J (Month/Day/Year) 03/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) PPG INDUSTRIES. (Check all applicable) INC., ONE PPG PLACE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Senior VP & CFO Person PITTSBURGH, Â PAÂ 15272 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 9,592.9474 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Options (1)	02/15/2020	02/14/2027	Common Stock	12,600	\$ 101.5	D	Â
Employee Stock Options (1)	02/17/2019	02/16/2026	Common Stock	5,200	\$ 95	D	Â
Employee Stock Options (1)	02/18/2018	02/17/2025	Common Stock	3,400	\$ 118.12	D	Â
Employee Stock Options (1)	02/19/2017	02/18/2024	Common Stock	3,700	\$ 93.53	D	Â
Phantom Stock Units	(2)	(2)	Common Stock	209.8115 <sub>(3)</sub>	\$ <u>(4)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
Morales Vincent J					
PPG INDUSTRIES, INC.	â	â	Senior VP & CFO	â	
ONE PPG PLACE	A	A	A Sellior VP & CFO	A	
PITTSBURGH, PA 15272					

# **Signatures**

/s/ Greg E. Gordon, Attorney-in-Fact for Vincent J.
Morales

03/08/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right to buy granted under the PPG Industries, Inc. Amended and Restated Omnibus Incentive Plan.
- (2) After termination of employment with PPG.
- (3) Total of all phantom stock units held by the reporting person in the PPG Industries, Inc. Deferred Compensation Plan.
- (4) The security converts to common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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