HCA Holdings, Inc. Form 4 February 03, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

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obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Davis Jana Joustra Issuer Symbol HCA Holdings, Inc. [HCA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify ONE PARK PLAZA 02/01/2017 below) SVP - Corporate Affairs (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting NASHVILLE, TN 37203 Person

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit poor Dispos (Instr. 3,	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/01/2017		Code V M	Amount 2,252	(D)	Price \$ 10.9168	65,711	D	
Common Stock	02/01/2017		M	2,252	A	\$ 15.9112	67,963	D	
Common Stock	02/01/2017		S	4,504	D	\$ 81.9251 (1)	63,459	D	
Common Stock	02/02/2017		M	30,000	A	\$ 22.95	93,459	D	
Common Stock	02/02/2017		F	16,216	D	\$ 82.29	77,243	D	

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Common Stock	02/03/2017	S	3,399	D	\$ 83.2394 (2)	73,844	D	
Common Stock						51,950	I	By GRAT (Spouse, Trustee)

(e.g., puts, calls, warrants, options, convertible securities)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Dis (D) (Instr. 3, 4)	Acquired sposed of	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title a Underlyi (Instr. 3 a
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 10.9168 (3)	02/01/2017		M		2,252	08/27/2010	08/27/2019	Comm Stock
Non-Qualified Stock Option (right to buy)	\$ 15.9112 (3)	02/01/2017		M		2,252	<u>(5)</u>	08/27/2019	Comm Stock
Stock Appreciation Right	\$ 81.96	02/01/2017		A	11,010		02/01/2018(6)	02/01/2027	Comm Stock
Stock Appreciation Right	\$ 22.95	02/02/2017		M		30,000	<u>(7)</u>	02/08/2022	Comm Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
r g	Director	10% Owner	Officer	Other				
Davis Jana Joustra ONE PARK PLAZA NASHVILLE, TN 37203			SVP - Corporate Affairs					

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### **Signatures**

/s/ Natalie Harrison Cline, Attorney-in-Fact

02/03/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$81.92 to \$81.931, inclusive. The reporting person undertakes to provide to HCA Holdings, Inc., any security holder of HCA Holdings, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$83.20 to \$83.28, inclusive. The reporting person undertakes to provide to HCA Holdings, Inc., any security holder of HCA Holdings, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- Exercise Price was adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
- (4) Shares have been adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
- (5) The option vested at the end of fiscal year 2009 based upon the achievement of certain annual EBITDA performance targets.
- (6) The stock appreciation rights vest in four equal annual installments beginning on February 1, 2018.
- (7) The stock appreciation rights vested at the end of fiscal years 2012, 2013, 2014 and 2015 based upon the achievement of certain annual EBITDA performance targets.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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