Edgar Filing: EASTERN CO - Form 4

EASTERN C	CO											
Form 4												
December 21	1, 2016											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL					
CONIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check this box if no longer							Expires:	January 31,				
subject to		EMENT O	F CHAN		GES IN BENEFICIAL OWNE				Estimated a	2005 average		
	Section 16. SECURITIES							burden hours per				
Form 4 or Form 5	Form 4 or							response	0.5			
obligation	no -	L						ge Act of 1934,				
may cont	inue. Section			•	•	· ·		f 1935 or Sectio	n			
See Instru	uction	30(n)	of the In	vestment	Compan	y Aci	t 01 194	40				
1(b).												
(Print or Type F	Responses)											
1. Name and Address of Reporting Person [*] _ 2. Issuer Name an					Ticker or '	Tradin	ng	•	. Relationship of Reporting Person(s) to			
HENRY CHARLES W Symbol								Issuer				
EAS			EASTE	RN CO [E	EML]			(Check all applicable)				
(Last) (First) (Middle) 3. Date of				of Earliest Transaction				(eneck an applicable)				
112 BRIDGE STREET12/20/			(Month/D	ay/Year)				X_ Director		Owner		
			12/20/2016					Officer (give title Other (specify below)				
			4. If Amer	ndment, Dat	te Original			6. Individual or Joint/Group Filing(Check				
Filed				th/Day/Year)				Applicable Line)				
NAUGATUCK, CT 06770								_X_Form filed by One Reporting Person Form filed by More than One Reporting				
NAUGATU	CK, CI 00770	0						Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Aco	quired, Disposed of	f, or Beneficial	lly Owned		
1.Title of	2. Transaction I			3.	4. Securi				6. Ownership			
Security	(Month/Day/Ye		on Date, if Transaction(A) or Disposed of Code (D)					Securities	Form: Direct	Indirect Beneficial		
(Instr. 3)		any (Month/	Day/Year)	(Instr. 8) (Instr. 3, 4 and 5)			5)	Beneficially Owned	(D) or Indirect (I)	Ownership		
		× ×				Following	(Instr. 4)					
						(A)		Reported Transaction(s)				
						or		(Instr. 3 and 4)				
Common				Code V	Amount	(D)	Price	(
Common Stock (1)	12/20/2016			А	362	А	\$ 20.7	65,324	D			
Stock <u>·</u>							20.7					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	ofNumber Expiration of (Month/Da Derivative Securities Acquired (A) or Disposed of (D)			ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code N	(Inst: 4, an 7 (A)	d 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
HENRY CHARLES W 112 BRIDGE STREET NAUGATUCK, CT 06770	Х							
Signatures								
Charles W. Henry, by Theresa attorney-in-fact		12/21/2						

12/21/2016 Date

<u>**</u>Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 362 shares acquired under The Eastern Company Director's Fee Program pursuant to Rule 16b-3(d). The price used to determine the number of shares is the price of the shares on December 15, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.