#### Edgar Filing: COSTCO WHOLESALE CORP /NEW - Form 4

#### COSTCO WHOLESALE CORP /NEW

Form 4 October 07, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

999 LAKE DRIVE

1. Name and Address of Reporting Person \* Rose Timothy L.

(First)

(Ctata)

2. Issuer Name and Ticker or Trading

Symbol

COSTCO WHOLESALE CORP /NEW [COST]

(Middle)

(7:-

3. Date of Earliest Transaction (Month/Day/Year)

10/05/2016

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

response...

OMB

Number:

Expires:

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director 10% Owner \_\_Other (specify X\_ Officer (give title

below) Executive VP

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

ISSAQUAH, WA 98027

| (City)                               | (State)                              | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |   |     |              |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|-----------------|---|-----|--------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | Code (Instr. 8) | 4. Securities Acquired (A) mr Disposed of (D) (Instr. 3, 4 and 5)  (A) or |     |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 10/05/2016                           |  | Code V A        | Amount 18,440 (1)   | (D) | Price (2)    | 59,230   | D  |   |  |  |
| Common<br>Stock                      | 10/05/2016                           |  | F               | 4,185   | D   | \$<br>149.98 | 55,045   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer        |                 | 7. Title a      |        | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|-----------------|-----------------|--------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | onNumber   | Expiration D        |                 | Amount          |        | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/         | Year)           | Underly         | _      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                   |                 | Securitie       | es     | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | S                   |                 | (Instr. 3       | and 4) |             | Own     |
|             | Security    |                     |                    |            | Acquired   |                     |                 |                 |        |             | Follo   |
|             | ,           |                     |                    |            | (A) or     |                     |                 |                 |        |             | Repo    |
|             |             |                     |                    |            | Disposed   |                     |                 |                 |        |             | Trans   |
|             |             |                     |                    |            | of (D)     |                     |                 |                 |        |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |                     |                 |                 |        |             | (IIISti |
|             |             |                     |                    |            | 4, and 5)  |                     |                 |                 |        |             |         |
|             |             |                     |                    |            | 4, and 3)  |                     |                 |                 |        |             |         |
|             |             |                     |                    |            |            |                     |                 | A               | mount  |             |         |
|             |             |                     |                    |            |            | D.                  | E               | 01              | r      |             |         |
|             |             |                     |                    |            |            | Date<br>Exercisable | Expiration Date | Title Number of | umber  |             |         |
|             |             |                     |                    |            |            |                     |                 |                 |        |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |                     |                 |                 | hares  |             |         |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Rose Timothy L. 999 LAKE DRIVE ISSAQUAH, WA 98027

Executive VP

### **Signatures**

Deanna K. Nakashima, attorney-in-fact

10/07/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant was initially made in October 2015 subject to performance conditions concerning fiscal 2016, which have been deemed satisfied by the Compensation Committee of the Board of Directors. The shares of restricted stock subject to performance conditions vest ratably over a four year period from satisfaction based on continued employment with the Company. In addition, under the Stock Incentive Plan, long term employees are eligible for accelerated vesting upon the anniversary of their 25th, 30th and 35th years of service.
- (2) Not applicable. Grant of Restricted Stock Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2