SYMANTEC CORP Form 4 May 11, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Yelamanchili Balaji

(First) (Middle)

350 ELLIS STREET

(Street)

**MOUNTAIN VIEW, CA 94043** 

2. Issuer Name and Ticker or Trading Symbol

SYMANTEC CORP [SYMC]

3. Date of Earliest Transaction

(Month/Day/Year) 05/09/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below)

EVP & GM, Enterprise Security

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned

Following Reported

Transaction(s) (Instr. 3 and 4)

6. Ownership Form: Direct (D) or Indirect Beneficial (T)

7. Nature of Indirect Ownership

(Instr. 4) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(A)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

(e.g., puts, calls, warrants, options, convertible securities)

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amo Underlying Secu (Instr. 3 and 4)

#### Edgar Filing: SYMANTEC CORP - Form 4

	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V (A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of
Performance-based Restricted Stock Units	(1)	05/09/2016		A	48,638		<u>(1)</u>	<u>(1)</u>	Common Stock	48

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Yelamanchili Balaji 350 ELLIS STREET MOUNTAIN VIEW, CA 94043

EVP & GM, Enterprise Security

### **Signatures**

/s/ Philip Reuther, as attorney-in-fact for Balaji Yelamanchili

05/11/2016

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On June 10, 2015, the Reporting Person was granted PRUs with a target of 119,799 shares. The PRU provides that, depending on the Issuer's achievement of the Performance criterion for fiscal 2016, 0% to 133% of the target shares will be eligible (the "Eligible Shares")

to be earned at the end of fiscal 2017 and 2018, based on, and subject to further adjustments as a result of, the achievement of certain other performance criteria (but in no event will the Reporting Person earn less than 50% of the Eligible Shares), provided that the Reporting Person is employed by the Issuer through March 30, 2018. The performance criterion for fiscal 2016 was partially satisfied, resulting in 48,638 shares becoming Eligible Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2