PATTERSON COMPANIES, INC.

Form 4 May 02, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Expires:

response...

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per

**OMB APPROVAL** 

Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GUGINO ANN B** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

PATTERSON COMPANIES, INC.

[PDCO]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner

(Check all applicable)

(Month/Day/Year)

04/29/2016

X\_ Officer (give title Other (specify below)

1031 MENDOTA HEIGHTS ROAD

Vice President & CFO 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

below)

**ST PAUL, MN 55120** 

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

Amount (D) Price (Instr. 3 and 4)

Common 04/29/2016 Stock

 $F^{(1)}$ 346 D 43.35

37,597

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options	<u>(2)</u>					07/01/2018	07/01/2025	Common Stock	12,809
Employee Stock Options	<u>(3)</u>					<u>(4)</u>	07/01/2025	Common Stock	100,000
Employee Stock Options	<u>(5)</u>					07/01/2017	07/01/2024	Common Stock	7,400

# **Reporting Owners**

Reporting Owner Name / Address		Relationships	

Director 10% Owner Officer Other

GUGINO ANN B 1031 MENDOTA HEIGHTS ROAD ST PAUL, MN 55120

Vice President & CFO

### **Signatures**

Les B. Korsh, by Power of Attorney 05/02/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld for taxes incurred upon lapse of restrictions on restricted stock issued pursuant to the Patterson Companies, Inc. Equity Incentive Plan.
- (2) Stock options granted 7/01/2015 at \$49.27.
- (3) Stock options granted 7/01/2015 at \$56.66.
- (4) Options are exercisable as follows: 25% on 7/01/2018, 25% on 7/01/2019 and 50% on 7/01/2020.
- (5) Options granted as follows: 7,400 on 7/1/2014 at \$39.64.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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