AtriCure, Inc. Form 4 November 12, 2015

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Krell Elizabeth D

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

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response...

(Last)

(City)

(Middle)

(Zip)

AtriCure, Inc. [ATRC]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Other (specify Officer (give title below)

13005 HAWKINS CIRCLE 11/10/2015

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HAGERSTOWN, MD 21742

| (City)                               | (State)                              | (Zip) Tabl  | le I - Non-D                           | erivative (                                 | Securi | ties Acqu          | ired, Disposed of  | , or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|--|---|--------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securit<br>rn(A) or Dis<br>(Instr. 3, 4) | sposed | of (D)             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 11/10/2015                           |   | M                                      | 10,000                                      | A      | \$ 7.99            | 17,162   | D  |   |
| Common<br>Stock                      | 11/11/2015                           |   | S                                      | 10,000                                      | D      | \$<br>20.68<br>(1) | 7,162  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: AtriCure, Inc. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | erivative Expiration Date curities (Month/Day/Year) equired (A) Disposed of o) astr. 3, 4, |                    | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |                                    |
|---|---|--------------------------------------|---|--|--|--------|--|--------------------|--|------------------------------------|
|   |   |                                      |   | Code V                                 | (A)  | (D)    | Date Exercisable   | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Share |
| Stock Option (right to buy)                         | \$ 7.99   | 11/10/2015                           |   | M                                      | 1  | 10,000 | 06/21/2007(2)  | 06/21/2016         | Common<br>Stock  | 10,000                             |

### **Reporting Owners**

| Reporting Owner Name / Address                                    | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| reporting o where there is a real constant of                     | Director      | 10% Owner | Officer | Other |  |  |
| Krell Elizabeth D<br>13005 HAWKINS CIRCLE<br>HAGERSTOWN, MD 21742 | X             |           |         |       |  |  |

## **Signatures**

/s/ Elizabeth D.
Krell

\*\*Signature of Reporting Person

11/12/2015

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$20.59 to \$20.74 per share. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) These options were granted on June 21, 2006 and are exercisable cumulatively at a rate of 25% per annum beginning one year from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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