BIOLASE, INC Form 4 September 17, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

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January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

| 1. Name and A DREYER D              | Person * 2. Issue<br>Symbol                                                              | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |                                 |              |            | 5. Relationship of Reporting Person(s) to Issuer |                                                                           |                  |              |  |
|-------------------------------------|------------------------------------------------------------------------------------------|----------------------------------------------------|---------------------------------|--------------|------------|--------------------------------------------------|---------------------------------------------------------------------------|------------------|--------------|--|
|                                     |                                                                                          |                                                    | LASE, INC [BIOL]                |              |            |                                                  | (Check all applicable)                                                    |                  |              |  |
| (Last)                              | (First) (M                                                                               | fiddle) 3. Date o                                  | 3. Date of Earliest Transaction |              |            |                                                  |                                                                           |                  |              |  |
| (Mont                               |                                                                                          |                                                    | Month/Day/Year)                 |              |            |                                                  | Director                                                                  |                  | Owner        |  |
| C/O 4 CROMWELL                      |                                                                                          |                                                    | 09/17/2015                      |              |            |                                                  | X Officer (give title Other (specify below) SVP / Chief Financial Officer |                  |              |  |
|                                     |                                                                                          |                                                    |                                 |              |            |                                                  | SVP/C                                                                     | nei Financiai Oi | incer        |  |
|                                     | (Street)                                                                                 | 4. If Amo                                          | 4. If Amendment, Date Original  |              |            |                                                  | 6. Individual or Joint/Group Filing(Check                                 |                  |              |  |
|                                     |                                                                                          | Filed(Mo                                           | nth/Day/Year                    | )            |            |                                                  | Applicable Line)                                                          |                  |              |  |
|                                     |                                                                                          |                                                    |                                 |              |            |                                                  | _X_ Form filed by                                                         |                  |              |  |
| IRVINE, CA 92618                    |                                                                                          |                                                    |                                 |              |            |                                                  | Form filed by More than One Reporting<br>Person                           |                  |              |  |
| (City)                              | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                                    |                                 |              |            |                                                  |                                                                           |                  |              |  |
| 1.Title of                          | 2. Transaction Date                                                                      | 2A. Deemed                                         | 3.                              | 4. Securiti  | ies Acquir | red                                              | 5. Amount of                                                              | 6. Ownership     | 7. Nature of |  |
| Security (Month/Day/Year) Execution |                                                                                          | Execution Date, if                                 |                                 |              |            |                                                  | Securities                                                                | Form: Direct     | Indirect     |  |
| (Instr. 3)                          | any Code (Instr. 3, 4 and 5)                                                             |                                                    |                                 | Beneficially | (D) or     | Beneficial                                       |                                                                           |                  |              |  |
|                                     |                                                                                          | (Month/Day/Year)                                   | (Instr. 8)                      |              |            |                                                  | Owned                                                                     | Indirect (I)     | Ownership    |  |
|                                     |                                                                                          |                                                    |                                 |              |            |                                                  | Following                                                                 | (Instr. 4)       | (Instr. 4)   |  |
|                                     |                                                                                          |                                                    |                                 |              | (A)        |                                                  | Reported                                                                  |                  |              |  |
|                                     |                                                                                          |                                                    |                                 |              | or         |                                                  | Transaction(s)                                                            |                  |              |  |
|                                     |                                                                                          |                                                    | Code V                          | Amount       | (D) P1     | rice                                             | (Instr. 3 and 4)                                                          |                  |              |  |
| Common                              | 09/17/2015                                                                               |                                                    | Р                               | 10 000       | A \$       |                                                  | 10 000                                                                    | D                |              |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

1.45

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: BIOLASE, INC - Form 4

| 1. Title of                          | 2.                                                              | 3. Transaction Date |                                               | 4.                                | 5.                                                                | 6. Date Exerc                       |                    | 7. Titl                            |                              | 8. Price of                          | 9. Nu                                                              |
|--------------------------------------|-----------------------------------------------------------------|---------------------|-----------------------------------------------|-----------------------------------|-------------------------------------------------------------------|-------------------------------------|--------------------|------------------------------------|------------------------------|--------------------------------------|--------------------------------------------------------------------|
| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if<br>any<br>(Month/Day/Year) | Transaction<br>Code<br>(Instr. 8) | orNumber of Derivative Securities Acquired (A) or Disposed of (D) | Expiration Date<br>(Month/Day/Year) |                    | Amou<br>Under<br>Securi<br>(Instr. | lying                        | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                      |                                                                 |                     |                                               |                                   | (Instr. 3, 4, and 5)                                              |                                     |                    |                                    |                              |                                      | (IIISU                                                             |
|                                      |                                                                 |                     |                                               |                                   |                                                                   | Date<br>Exercisable                 | Expiration<br>Date | Title                              | Amount<br>or<br>Number<br>of |                                      |                                                                    |
|                                      |                                                                 |                     |                                               | Code V                            | (A) (D)                                                           |                                     |                    |                                    | Shares                       |                                      |                                                                    |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DREYER DAVID C C/O 4 CROMWELL IRVINE, CA 92618

SVP / Chief Financial Officer

# **Signatures**

/s/ Michael C. Carroll, attorney-in-fact for David C. Dreyer

09/17/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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