Intercontinental Exchange, Inc.

Form 4 June 04, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

burden hours per response... 0.5

Estimated average

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

06/02/2015

(Print or Type Responses)

1. Name and A Vice Charle	2. Issuer Name <b>and</b> Ticker or Trading Symbol Intercontinental Exchange, Inc. [ICE]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (	Middle)	3. Date of Earliest Transaction			(Check all applicable)				
5660 NEW	(Month/Day/Year) 06/02/2015					Director 10% Owner _X_ Officer (give title Other (specify below)  President&Chief Op. Officer				
	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
ATLANTA	Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tabl	e I - Non-E	<b>Derivative</b>	Secui	rities Acqu	uired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	Securities Ownership Indir Beneficially Form: Direct Bene Owned (D) or Own		7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/02/2015			Code V M	Amount 2,500 (1)	or (D)	Price \$ 104.23	(Instr. 3 and 4) 44,824	D	

2,500

(1)

D

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

 $235.25 \quad 42,324 \stackrel{(3)}{=}$ 

#### Edgar Filing: Intercontinental Exchange, Inc. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 104.23	06/02/2015		M	2,500	<u>(4)</u>	12/22/2016	Common Stock	2,500

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Vice Charles A

5660 NEW NORTHSIDE DRIVE President&Chief Op. Officer

ATLANTA, GA 30328

## **Signatures**

/s/ Andrew J. Surdykowski,
Attorney-in-fact
06/04/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- The price range for the aggregate amount sold by the direct holder is \$234.87 \$235.71. The Issuer will upon request by the Staff of the (2) U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
  - The common stock number referred in Table I is an aggregate number and represents 26,689 shares of common stock and 15,635 unvested performance based restricted stock units, for which the performance period has been satisfied. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction

(3) stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2015 performance based restricted stock units and the corresponding number of shares of common stock to be issued pursuant to that award will not be determined until February 2016 and will be reported at that time.

Reporting Owners 2

#### Edgar Filing: Intercontinental Exchange, Inc. - Form 4

#### (4) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.