Hannon Armstrong Sustainable Infrastructure Capital, Inc.

Form 4 June 02, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549

3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

5. Relationship of Reporting Person(s) to Issuer

Rose Nathaniel

Symbol Hannon Armstrong Sustainable

(Check all applicable)

(Last) (First) (Middle) Infrastructure Capital, Inc. [HASI] 3. Date of Earliest Transaction

Director 10% Owner \_X\_\_ Officer (give title \_Other (specify

1906 TOWNE CENTRE BLVD.

(Month/Day/Year) 06/01/2015

below) Senior Vice President & CIO

**SUITE 370** 

(Street)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ANNAPOLIS, MD 21401

(City)	(State)	Zip)	Table I - Non-	Derivative Securities Acq	quired, Disposed	of, or Beneficially Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6. Ownership 7. Nature of

Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Disposed of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
				(A)	Reported		

(A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D)

Common stock, par 2,772 06/01/2015 F D 20.3 214,240 (3) D (1) value \$0.01 (2)

per share Common

stock, par  $10,000^{(4)}$ By Spouse value \$0.01

per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transact Code (Instr. 8)	ofNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5		Amou Under Secur (Instr.	rlying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rose Nathaniel Senior Vice 1906 TOWNE CENTRE BLVD. SUITE 370 President & ANNAPOLIS, MD 21401 CIO

# **Signatures**

/s/ Nathaniel J. Rose 06/02/2015

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of shares of Common Stock withheld by the issuer, with approval of the issuer's Board of Directors, in order to satisfy the tax withholding obligation of the reporting person in connection with the vesting of 7,713 shares of Common Stock.
- (2) Represents the closing price of the Common Stock on June 1, 2015.
  - On April 10, 2014, the reporting person was granted 15,427 shares of restricted Common Stock that were issued pursuant to the Hannon
- (3) Armstrong Sustainable Infrastructure Capital Inc. Equity Incentive Plan. The shares vested as to one half on June 1, 2015 and will vest as to one half on June 1, 2016.
- (4) These shares are held by the reporting person's spouse. The reporting person disclaims beneficial ownership other than to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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