### Edgar Filing: CUMBERLAND PHARMACEUTICALS INC - Form 4

#### CUMBERLAND PHARMACEUTICALS INC

Form 4

March 27, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

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**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Herman James L

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

**CUMBERLAND** 

PHARMACEUTICALS INC [CPIX]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner

(Street)

(State)

(Month/Day/Year)

X\_ Officer (give title Other (specify below)

VP and Chief Compliance Office

2525 WEST END AVENUE, SUITE 03/27/2015

950

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

NASHVILLE, TN 37203

(City)	(State) (A	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of	2. Transaction Date				•	5. Amount of		7. Nature of		
Security	(Month/Day/Year)	Execution Date, if		Γransaction(A) or Disposed of					Indirect	
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	s Acquired 5. Amount of sosed of Securities Beneficially (D) or Bound Securities Form: Direct In (D) or Bound Securities (I) Or Following (Instr. 4) (Instr. 4) (Instr. 3 and 4)		Ownership		
							Following	(Instr. 4)	(Instr. 4)	
							Reported			
						Transaction(s)				
							(Instr. 3 and 4)			
			Code V	Amount	(D)	Price	,			
Common Stock	03/27/2015		A	4,000 (1)	A	\$ 6.86	36,509	D		
Common Stock	03/27/2015		F	817 (2)	D	\$ 6.88	35,692	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration Date	or Title Number of			
						Exercisable					
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

Herman James L 2525 WEST END AVENUE, SUITE 950 NASHVILLE, TN 37203

VP and Chief Compliance Office

### **Signatures**

James L. Herman by /s/ Rick S. Greene as attorney-in-fact

03/27/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award will vest 100% on March 17, 2019.
- This transaction represents shares withheld by the Company to cover the tax withholding obligations for the vesting of 2,500 shares during March 2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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