

SYNAPTICS INC
Form 4
August 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SANQUINI RICHARD L

(Last) (First) (Middle)
14087 LOMA RIO DRIVE
(Street)

SARATOGA, CA 95070

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNAPTICS INC [SYNA]

3. Date of Earliest Transaction (Month/Day/Year)
08/04/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	08/04/2014		M		3,516 A \$ 26.28	25,977	I By Trust (1)
Common Stock	08/04/2014		M		3,000 A \$ 23.16	28,977	I By Trust (1)
Common Stock	08/04/2014		M		3,375 A \$ 46.5	32,352	I By Trust (1)
Common Stock	08/04/2014		S		5,200 D \$ 76.9204 (2)	27,152	I By Trust (1)
Common Stock	08/04/2014		S		3,621 D \$ 78.1377	23,531	I By Trust (1)

Edgar Filing: SYNAPTICS INC - Form 4

Common Stock	08/04/2014	S	1,070	D	<u>(3)</u> \$ 78.7331	22,461	I	By Trust <u>(1)</u>
Common Stock					<u>(4)</u>	9,047	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 26.28	08/04/2014		M	3,516	<u>(5)</u> 01/25/2020	Common Stock	3,516	
Director Stock Option (Right to Buy)	\$ 23.16	08/04/2014		M	3,000	<u>(6)</u> 10/31/2019	Common Stock	3,000	
Director Stock Option (Right to Buy)	\$ 46.5	08/04/2014		M	3,375	<u>(7)</u> 10/28/2020	Common Stock	3,375	

Reporting Owners

Reporting Owner Name / Address Relationships

Reporting Owners

Edgar Filing: SYNAPTICS INC - Form 4

Director 10% Owner Officer Other

SANQUINI RICHARD L
14087 LOMA RIO DRIVE X
SARATOGA, CA 95070

Signatures

Kermit Nolan, as
Attorney-in-Fact 08/06/2014

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are held by the Reporting Person as Trustee of the Sanquini 2002 Living Trust, dated January 22, 2002.
The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$76.48 to \$77.27, inclusive. The reporting person undertakes to provide to Synaptics Incorporated (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$77.53 to \$78.48, inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$78.61 to \$78.82, inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- (4) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 25, 2010 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 25th day of each month thereafter until fully vested on January 25, 2014.
- (5) 1/12th of the total number of shares subject to the option vested and became exercisable on the last day of each month following the October 31, 2012 grant date until fully vested on October 31, 2013.
- (6) 1/12th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 28th day of each month following the October 28, 2013 grant date until fully vested on October 28, 2014.
- (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.