SYNAPTICS INC Form 4

August 06, 2014

# FORM 4

Form 5

1(b).

obligations

may continue.

See Instruction

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* SANQUINI RICHARD L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

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SYNAPTICS INC [SYNA]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

08/04/2014

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

(Check all applicable)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### SARATOGA, CA 95070

14087 LOMA RIO DRIVE

(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	08/04/2014		Code V M	Amount 3,516	(D)	Price \$ 26.28	(Instr. 3 and 4) 25,977	I	By Trust
Stock Common Stock	08/04/2014		M	3,000	A	\$ 23.16	28,977	I	(1) By Trust (1)
Common Stock	08/04/2014		M	3,375	A	\$ 46.5	32,352	I	By Trust
Common Stock	08/04/2014		S	5,200	D	\$ 76.9204 (2)	27,152	I	By Trust
Common Stock	08/04/2014		S	3,621	D	\$ 78.1377	23,531	I	By Trust

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Common Stock	08/04/2014	S	1,070	D	\$ 78.7331 (4)	22,461	I	By Trust
Common Stock						9,047	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 26.28	08/04/2014		M		3,516	<u>(5)</u>	01/25/2020	Common Stock	3,516
Director Stock Option (Right to Buy)	\$ 23.16	08/04/2014		M		3,000	<u>(6)</u>	10/31/2019	Common Stock	3,000
Director Stock Option (Right to Buy)	\$ 46.5	08/04/2014		M		3,375	<u>(7)</u>	10/28/2020	Common Stock	3,375

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
reporting Owner Hame / Hauress	

2 Reporting Owners

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Director 10% Owner Officer Other

SANQUINI RICHARD L 14087 LOMA RIO DRIVE SARATOGA, CA 95070

X

# **Signatures**

Kermit Nolan, as Attorney-in-Fact 08/06/2014

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are held by the Reporting Person as Trustee of the Sanquini 2002 Living Trust, dated January 22, 2002.

Date

- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$76.48 to \$77.27, inclusive. The reporting person undertakes to provide to Synaptics Incorporated (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$77.53 to \$78.48, inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$78.61 to \$78.82, inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 25, (5) 2010 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 25th day of each month thereafter until fully vested on January 25, 2014.
- (6) 1/12th of the total number of shares subject to the option vested and became exercisable on the last day of each month following the October 31, 2012 grant date until fully vested on October 31, 2013.
- (7) 1/12th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 28th day of each month following the October 28, 2013 grant date until fully vested on October 28, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3