Edgar Filing: Opko Health, Inc. - Form 4

Opko Healt Form 4 June 12, 20	14	SECUDITIES	A ND EV	СЦА	NCEO	OMMISSION	-	PPROVAL
-	UNITEDSTATE	Washington			NGE U	UMINII5510IN	OMB Number:	3235-0287
Check t if no lo	nger STATEMENT (E CHANCES IN	I PENIFE	пста		IEDSHID OF	Expires:	January 31, 2005
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
(Print or Type	e Responses)							
	Address of Reporting Person <u>*</u> HILLIP MD ET AL	2. Issuer Name ar Symbol Opko Health, In		[.] Tradir	-0	5. Relationship of I Issuer	Reporting Pers	son(s) to
(Last)	(First) (Middle)	3. Date of Earliest				(Check	all applicable)
, , ,	ALTH, INC., 4400	(Month/Day/Year) 06/11/2014				X Director X Officer (give t below) CEO	X 10% title Othe below) & Chairman	
MIAMI, F	(Street) L 33137	4. If Amendment, I Filed(Month/Day/Ye	-	l		6. Individual or Joi Applicable Line) Form filed by Or _X_ Form filed by M Person	ne Reporting Per	son
(City)	(State) (Zip)	Table I - Non-	Derivative	Securi		iired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	any		oror Dispos (Instr. 3, 4	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock						1,987,500	D	
Common Stock	06/11/2014	Р	1,000	A	\$ 9.01	141,109,959	I	See Footnote
Common Stock	06/11/2014	Р	100	A	\$ 9.025	141,110,059	I	See Footnote (1)
Common Stock	06/11/2014	Р	1,500	А	\$ 9.03	141,111,559	Ι	See Footnote (1)

Edgar Filing: Opko Health, Inc. - Form 4

Common Stock	06/11/2014	Р	100	A	\$ 9.035	141,111,659	Ι	See Footnote (1)
Common Stock	06/11/2014	Р	500	A	\$ 9.0375	141,112,159	I	See Footnote (1)
Common Stock	06/11/2014	Р	200	A	\$ 9.039	141,112,359	Ι	See Footnote (1)
Common Stock	06/11/2014	Р	2,600	А	\$ 9.04	141,114,959	Ι	See Footnote (1)
Common Stock	06/11/2014	Р	2,000	А	\$ 9.06	141,116,959	Ι	See Footnote (1)
Common Stock	06/11/2014	Р	200	А	\$ 9.0675	141,117,159	Ι	See Footnote (1)
Common Stock	06/11/2014	Р	100	А	\$ 9.069	141,117,259	Ι	See Footnote (1)
Common Stock	06/11/2014	Р	12,700	А	\$ 9.07	141,129,959	I	See Footnote (1)
Common Stock	06/11/2014	Р	13,200	А	\$ 9.08	141,143,159	I	See Footnote (1)
Common Stock	06/11/2014	Р	5,800	А	\$ 9.09	141,148,959	I	See Footnote (1)
Common Stock	06/11/2014	Р	1,500	А	\$ 9.11	141,150,459	I	See Footnote (1)
Common Stock	06/11/2014	Р	2,400	A	\$ 9.12	141,152,859	I	See Footnote (1)
Common Stock	06/11/2014	Р	100	А	\$ 9.13	141,152,959	I	See Footnote (1)
Common Stock	06/11/2014	Р	200	А	\$ 9.195	141,153,159	I	See Footnote (1)
Common Stock	06/11/2014	Р	2,800	А	\$ 9.2	141,155,959	Ι	See Footnote

								(1)
Common Stock	06/11/2014	Р	1,580	А	\$ 9.25	141,157,539	I	See Footnote
Common Stock	06/11/2014	Р	1,420	А	\$ 9.26	141,158,959	Ι	See Footnote
Common Stock						15,490,546	Ι	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of	SEC 1474
information contained in this form are not	(9-02)
required to respond unless the form	
displays a currently valid OMB control	
number.	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactia Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	;	ate	7. Title ar Amount of Underlyir Securities (Instr. 3 a	of E ng S s (1	3. Price of Derivative Security Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
FROST PHILLIP MD ET AL OPKO HEALTH, INC. 4400 BISCAYNE BLVD. MIAMI, FL 33137	Х	Х	CEO & Chairman	
Frost Gamma Investments Trust 4400 BISCAYNE BLVD. MIAMI, FL 33137		Х		

Signatures

Phillip Frost, M.D., Individually and as Trustee

06/12/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general

(1) partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

These securities are owned directly by The Frost Group, LLC. Frost Gamma Investments Trust is a principal member of The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and

(2) ELC. The reporting person discrams beneficial ownership of these securities, except to the extent of any peculiarly interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.