Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 February 27, 2014

Common

Stock

02/25/2014

February 27,	2014								
FORM	4						PPROVAL		
Washington, D.C. 20549							3235-0287		
	Check this box if no longer criteria TERMENTE OF CHANCES IN DENERICIAL OWNERS					Expires:	January 31, 2005		
subject to Section 1 Form 4 or	6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					average urs per 0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	Responses)								
1. Name and Address of Reporting Person * Johnston James J. JR2. Issuer Symbol			uer Name and Ticker or Trading l			5. Relationship of Reporting Person(s) to Issuer			
		Dr Pepper Snapp [DPS]	le Group, Inc.		(Check all applicable)				
(Last) 5301 LEGA	(First) (Middle) CY DRIVE	3. Date of Earliest Tr (Month/Day/Year) 02/25/2014	ansaction		Director _X Officer (give elow) Executi		6 Owner er (specify ent		
(Street) 4. If Amer			te Original	6	6. Individual or Joint/Group Filing(Check				
PLANO, TX	3 75024	Filed(Month/Day/Year		A 	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Table I - Non-D	erivative Securi	ities Acqui	red, Disposed of	, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	any	ion Date, if Transacti Code n/Day/Year) (Instr. 8)	4. Securities onAcquired (A) of Disposed of (E (Instr. 3, 4 and (A) or	or Se) Bo .5) O Fo Ro Tr (II	ecurities eneficially wned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/25/2014	Code V A	$\begin{array}{c} \text{Amount} \text{(D)} \\ 8,128 \\ \underline{(1)} \\ \end{array} \text{A} \end{array}$	Price \$		D			

3,121

(1)

D

\$0 89,471

F

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Johnston James J. JR 5301 LEGACY DRIVE PLANO, TX 75024			Executive Vice President				
Signatures							
Wayne R. Lewis, attorney in fact	0	2/27/2014					

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were issued to the Reporting Person as the result of the vesting of non-derivative performance stock units ("PSU"). The level of achievement of the performance targets set forth in the Issuer's PSU Plan and the number of shares to be issued was approved by

(1) rever of achievement of the performance targets set form in the issuer s PSO Plan and the number of shares to be issued was approved by the Compensation Committee of the Issuer on February 25, 2014. 3,121 shares were withheld by Issuer to satisfy tax withholding obligations in connection with the vesting of these PSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.