

UNIVERSAL ELECTRONICS INC

Form 4

November 25, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Chong David Cheung Hyen

2. Issuer Name and Ticker or Trading Symbol  
UNIVERSAL ELECTRONICS INC [UEIC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/21/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Executive Vice President -Asia

201 E. SANDPOINTE AVENUE, 8TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SANTA ANA, CA 92707

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    |                                | (A) or (D)                                                        | Price                                                                                         |                                                          |                                                       |
| Common Stock                    | 11/21/2013                           | 11/21/2013                                         | M                              | 827                                                               | A \$ 19.245                                                                                   | 7,638                                                    | D                                                     |
| Common Stock                    | 11/21/2013                           | 11/21/2013                                         | S                              | 827                                                               | D \$ 38                                                                                       | 6,811                                                    | D                                                     |
| Common Stock                    | 11/25/2013                           | 11/25/2013                                         | M                              | 4,948                                                             | A \$ 19.245                                                                                   | 11,759                                                   | D                                                     |
| common Stock                    | 11/25/2013                           | 11/25/2013                                         | S                              | 4,948                                                             | D \$ 37                                                                                       | 6,811                                                    | D                                                     |
| Common Stock                    | 11/25/2013                           | 11/25/2013                                         | S                              | 3,000                                                             | D \$ 37.41                                                                                    | 3,811                                                    | D                                                     |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|----------------------------|
| Employee Stock Option (Rt to Buy)          | \$ 19.245<br><u>(1)</u>                                | 11/21/2013                           | 11/21/2013                                         | M                              | 827                                                                                     | 05/13/2013 02/13/2023                                    | Common Stock                                                  | 827                        |
| Employee Stock Option IRt to Buy)          | \$ 19.245<br><u>(1)</u>                                | 11/25/2013                           | 11/25/2013                                         | M                              | 4,948                                                                                   | 05/13/2013 02/13/2023                                    | Common                                                        | 4,948                      |

## Reporting Owners

| Reporting Owner Name / Address                                                          | Relationships |           |                                |       |
|-----------------------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                                         | Director      | 10% Owner | Officer                        | Other |
| Chong David Cheung Hyen<br>201 E. SANDPOINTE AVENUE<br>8TH FLOOR<br>SANTA ANA, CA 92707 |               |           | Executive Vice President -Asia |       |

## Signatures

/s/David Cheung Hyen Chong by Valerie J. Ballard, pursuant to Limited Power of Attorney dated May 16, 2013 (attached)

11/25/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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