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CELL THERAPEUTICS INC Form 3 November 25, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

CD

Date

Exercisable

Expiration

Title

Date

Person *	Iealthcare S	U	2. Date of Even Statement (Month/Day/Ye		CELL THERAPEUTICS INC [CTIC]						
(Last)	(First)	(Middle)	11/15/2013	4. Relationship of Reporting Person(s) to Issuer				5. If Amendment, Date Original Filed(Month/Day/Year)			
THURGAU	JERSTRASS	SE 130									
	(Street)				(Check all applicable)			6. Individual or Joint/Group			
GLATTPARK (OPFIKON), V8 8152				DirectorX10% Owner OfficerOther (give title below) (specify below)			 Filing(Check Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting Person 				
(City)	(State)	(Zip)	Т	Table I - Non-Derivative Securities Beneficially Owned							
1.Title of Secu (Instr. 4)	.Title of Security Instr. 4)		E	2. Amount of Beneficially C (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)		ect Beneficial	
Reminder: Rep owned directly		ate line for ea	ach class of securi	ties benefici	ally SI	EC 14	73 (7-02)				
	inform require	ation contained to respond	pond to the col ained in this for ond unless the f MB control nun	rm are not form displa	ays a						
,	Table II - Der	ivative Secu	rities Beneficially	y Owned (e.	g., puts, calls,	warr	ants, opti	ons, c	onvertible s	securities)	
1. Title of Der (Instr. 4)	ivative Securit		Exercisable and tion Date yy/Year)		d Amount of Underlying Security		4. Conversi or Exerci Price of	on (ise I	5. Ownership Form of Derivative	6. Nature of Indirec Beneficial Ownersh (Instr. 5)	

Derivative

Security

Amount or

Number of

Shares

Security:

Direct (D)

or Indirect

(Instr. 5)

(I)

OMB AF	PPROVAL				
OMB Number:	3235-0104				
Expires:	January 31 2005				
Estimated a	verage				

0.5

burden hours per

response ...

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Series 19 Preferred Stock	(1)	(1)	Common Stock	15,673,981	\$ 1.914 (2)	D <u>(3)</u>	Â
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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Baxter Healthcare SA THURGAUERSTRASSE 130 GLATTPARK (OPFIKON), V8 8152	Â	ÂX	Â	Â			
BAXTER INTERNATIONAL INC ONE BAXTER PARKWAY, DF2-1W DEERFIELD, IL 60015	Â	ÂX	Â	Â			
Signatures							
/s/ Stephanie D. Miller, on behalf of Baxte Healthcare SA		11/25/2013					
** Signature of Reporting Person		Date					
/s/ David P. Scharf, on behalf of Baxter Int Inc.	al	11/25/2013					
** Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 30,000 shares of Series 19 Preferred Stock acquired by the reporting persons are convertible into shares of the Issuer's common stock at any time at the holder's election, and are automatically convertible under certain circumstances but no later than December 15, 2013.
- (2) Each share of Series 19 Preferred Stock is convertible at a rate determined by dividing the stated value of each preferred share, which is initially \$1,000, by the conversion price of \$1.914 per common share.
- (3) The reported securities are owned directly by Baxter Healthcare SA, which is an indirect wholly-owned subsidiary of Baxter International Inc. Baxter International Inc. is an indirect beneficial owner of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.