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TANDEM DIABETES CARE INC Form 3 November 13, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person <u>*</u> TWOM			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol TANDEM DIABETES CARE INC [TNDM]					
(Last)	(First)	(Middle)	11/13/2013	4. Relationsh Person(s) to I	ip of Reporting ssuer	5. If Amendment, Date Original Filed(Month/Day/Year)			
C/O TANE CARE, INO ROSELLE	C.,, 11045			X_ Directo	all applicable) r 10% Owner Other w) (specify below)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting			
SAN DIEGO, CA 92121						Person Form filed by More than One Reporting Person			
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned								
1.Title of Sect (Instr. 4)	ırity		2. Amount o Beneficially (Instr. 4)		Ownership Own	ature of Indirect Beneficial nership tr. 5)			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)									
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
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OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

1. Title of Derivative Security 2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect	
(Instr. 4)	Expiration Date		Securities Underlying		Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)		Derivative Security		or Exercise	Form of	(Instr. 5)
			(Instr. 4)		Price of	Derivative	
	Data	Evaluation	Title	A mount on	Derivative	Security:	
	Date Exercisable	Expiration Date	Title	Amount or	Security Direct	Direct (D)	
				Number of		Direct (D)	

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				Shares		or Indirect (I) (Instr. 5)	
Series B Preferred Stock	(1)	(2)	Common Stock	6,208	\$ 0	Ι	See footnote (3)
Series C Preferred Stock	(1)	(2)	Common Stock	4,724	\$ 0	Ι	See footnote (3)
Series C Preferred Stock	(1)	(2)	Common Stock	7,086	\$ 0	Ι	See footnote (4)
Series D Preferred Stock	(1)	(2)	Common Stock	14,566	\$ 0	Ι	See footnote (3)
Series D Preferred Stock	(1)	(2)	Common Stock	10,486	\$ 0	Ι	See footnote (4)
Preferred Stock Warrant	(5)	08/31/2021	Series D Preferred Stock <u>(6)</u>	3,181 <u>(6)</u>	\$ 4.4 <u>(6)</u>	Ι	See footnote (3)
Preferred Stock Warrant	(5)	08/31/2021	Series D Preferred Stock (7)	2,272 <u>(7)</u>	\$ 4.4 <u>(7)</u>	Ι	See footnote (4)
Preferred Stock Warrant	(5)	07/03/2022	Series D Preferred Stock (8)	3,181 <u>(8)</u>	\$ 4.4 <u>(8)</u>	Ι	See footnote (3)
Preferred Stock Warrant	(5)	07/03/2022	Series D Preferred Stock (9)	2,272 <u>(9)</u>	\$ 4.4 (9)	Ι	See footnote (4)
Preferred Stock Warrant	(5)	08/21/2022	Series D Preferred Stock (10)	830 (10)	\$ 4.4 (10)	Ι	See footnote (3)
Stock Option (11)	(12)	(13)	Common Stock	20,888	\$ 6.77	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
TWOMEY CHRISTOPHER J C/O TANDEM DIABETES CARE, INC., 11045 ROSELLE STREET SAN DIEGO, CA 92121	ÂX	Â	Â	Â	
Signatures					
/s/ David B. Berger, Attorney-in-Fact for Cl Twomey	1	1/13/2013			
**Signature of Reporting Person		Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities are immediately convertible.
- (2) The expiration date is not relevant to the conversion of these securities.
- (3) The securities are held by the Chris J. Twomey and Rebecca J. Twomey Family Trust UTD September 20, 2002.

The securities are directly owed by Twomey Family Investments, LLC. The Reporting Person is co-manager of Twomey Family

- (4) Investments, LLC and shares voting and investment power over the securities held by Twomey Family Investments, LLC and may be deemed to have indirect beneficial ownership of such shares. The Reporting Person disclaims beneficial ownership of such securities held by Twomey Family Investments, LLC, except to the extent of his proportionate pecuniary interest therein.
- (5) The warrants are immediately exercisable.
- (6) Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 1,898 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 1,355 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- (8) Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 1,898 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- (9) Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 1,355 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- (10) Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 495 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- (11) Granted pursuant to the Issuer's Tandem Diabetes Care, Inc. 2006 Stock Incentive Plan.
- (12) The date of grant of the option was $\frac{8}{6}/2013$. All shares subject to the option will vest as to 25% on $\frac{8}{6}/2014$ and in 36 equal monthly installments thereafter.
- (13) The expiration date for these options is 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.