Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 October 24, 2013

October 24,	2013											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								DMMISSION	OMB Number:	3235-0287	
Check the check	iger STATEN	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI									January 31, 2005	
subject to					JRI	FIES				Estimated a burden hour response		
Form 5 obligation may corn <i>See</i> Insta 1(b).	ons Section 17(a) of the l	Public U	tility Ho	oldir	ng Cor	npan	-	Act of 1934, 1935 or Section			
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> ELLEN MARTIN M			2. issuer raune und riener er ridding						5. Relationship of Reporting Person(s) to Issuer			
	Dr Pepper Snapple Group, Inc. [DPS]						(Check all applicable)					
(Me									Director 10% Owner _X Officer (give title Other (specify below) below) Executive Vice President & CFO			
				Ionth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PLANO, T	X 75024							- I	Form filed by Mo Person	ore than One Rep	porting	
(City)	(State)	(Zip)	Tab	le I - Non	-Der	rivative	Secu	rities Acqui	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	/ A	mount	or (D)	Price	(Instr. 3 and 4)	(11301.4)		
Common Stock	10/23/2013			М	1(0,000	А	\$ 35.48	10,000	D		
Common Stock	10/23/2013			S	1(0,000	D	\$ 46.8015 (1)	0 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 35.48	10/23/2013		М		10,000	<u>(3)</u>	04/01/2020	Common Stock	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting o wher reality readers	Director	10% Owner	Officer	Other			
ELLEN MARTIN M 5301 LEGACY DRIVE PLANO, TX 75024			Executive Vice President & CFO				
Signatures							
Wayne R. Lewis, attorney in fact	10	0/23/2013					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$46.75-\$46.92.(1) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

- (2) 52,000 shares are beneficially owned by Martin Robin Partners, L.P., in which the reporting person has a pecuniary interest.
- (3) This option was granted on April 1, 2010 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in five annual installments on each anniversary date of the award commencing on April 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.