Edgar Filing: BECK RICHARD P - Form 4

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Form 4 May 08, 2009											
FORM	Δ	STATES SECONTIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940								PPROVAL 3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b).	er STATEN 5. Filed put s Section 17(burden hou response	January 31	
(Print or Type R	esponses)										
BECK RICHARD P Sym			Symbol ADVAN	2. Issuer Name and Ticker or Trading Symbol ADVANCED ENERGY INDUSTRIES INC [AEIS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(M			3. Date of Earliest Transaction(Month/Day/Year)05/06/2009					X_ Director 10% Owner Officer (give title Other (specify below) below)			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
FORT COLI	LINS, CO 80525	i							More than One Re		
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	lecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year) Execution any	emed on Date, if 'Day/Year)	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/06/2009			A	6,000 (1)	A	\$ 0 (2)	42,574 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Oth			
BECK RICHARD P 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	Х						
Signatures							
Thomas O. McGimpsey - Attorney-in-Fact		05/07/2009					
<u>**</u> Signature of Reporting Person		Ι	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units granted on May 6, 2009 will vest in four equal annual installments beginning on the first anniversary of the grant date.
- (2) Represents shares of restricted stock units.

Mr. Beck has been granted a total of 30,000 restricted stock units in four grants: (A) 2,000 restricted stock units granted on May 24, 2006 which vest annually in four equal installments beginning on May 24, 2007 (1,000 of which have vested as of this filing); (B) 16,000 restricted stock units on May 2, 2007 which vest annually in four equal installments beginning on May 24, 2007 (1,000 of which have vested as of this filing); (B) 16,000 restricted stock units on May 2, 2007 which vest annually in four equal installments beginning on May 24, 2007 (1,000 of which have vested as of this filing); (B) 16,000 restricted stock units on May 2, 2007 which vest annually in four equal installments beginning on May 2, 2008 (8,000 of which have

(3) vested as of this filing); (C) 6,000 restricted stock units on May 7, 2008 which vest annually in four equal installments beginning on May 7, 2009 (1,500 of which have vested as of this filing); and (D) 6,000 restricted stock units on May 6, 2009 which vest annually in four equal installments beginning on May 6, 2010. As of this filing, 10,500 restricted stock units are vested and held as well as 32,074 shares held in street name.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.